Update 1 of: BINOL: A Versatile Chiral Reagent

Jean Michel Brunel*

Laboratoire SESNAB, UMR MD-1, Faculté des Sciences et Techniques de St Jérôme, Université Paul Cézanne, Aix-Marseille, Avenue Escadrille Normandie Niémen, 13397 Marseille Cedex 20, France

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* Tel: 04-91-28-85-50. Fax: 04-91-28-84-40. E-mail: bruneljm@yahoo.fr. 5. References 40

Jean Michel Brunel was born in 1968 in Marseille, France. He graduated from the School of Chemistry (Ecole Supérieure de Chimie de Marseille, ESCM) in 1991. He obtained his Ph.D. degree in 1994 from Université Aix Marseille III in the field of enantioselective synthesis and organophosphorus chemistry. In 1994, he joined the group of Prof. H. B. Kagan (Université Paris Sud) as a postdoctoral fellow working on the enantioselective catalytic oxidation of sulfides (1994−1996). In 1997, he joined the CNRS as Chargé de Recherche, and he is now working in the Institut Méditerranéen de Recherche en Nutrition (IMRN INRA 1111). His research program is focused on the development of novel synthetic methods in asymmetric catalysis as well as the synthesis of a new class of antifungal agents.

1. Introduction

Over the last 20 years an explosive growth of the research in the field of asymmetric synthesis has occurred.¹⁻⁹ The aim of enantioselective synthesis, or catalysis, is to produce chiral products (a single enantiomer as the ultimate goal) starting from achiral substrates, by exploiting the presence of chiral reagents. The role of these latter is to generate diastereomeric transition states leading to the two enantiomers, so that one of them is preferentially formed. In such a schematic representation, only two competitive pathways are present: one leading to the *R* enantiomer and the other giving rise to the *S* one. Actually, the situation can be more complex: for instance, both the substrate and the reagent can exist as a mixture of conformational isomers, several conformations can be significantly populated, and they can also exist in different states of aggregation or solvation, with each of these species showing its own reactivity. The final result is a weighted average depending on the distribution and reactivity of the species involved, and a low stereoselectivity is generally obtained. A rational approach to the control of stereoselectivity is based on the use of molecules possessing only symmetry elements of pure rotation and belonging to the C_n or D_n symmetry groups, allowing the prediction of the enantioselectivity due to the presence in solution of only a single defined reactive species. Under these assumptions, interest in the applications of chiral atropoisomers, especially binaphthalene systems, has blossomed.^{10,11} This review deals exclusively with the synthesis and resolution of BINOL as well as its use as a chiral reagent or ligand and does not cover its substituted derivatives.^{12,13} Thus, since 1990 the enantiomeric atropoisomers of 1,1′-binaphthyl-2,2′ diol (BINOL) have become among the most widely used ligands for both stoichiometric and catalytic asymmetric reactions. In the first part of this review, we will describe the different methods of preparation of racemic and enantiopure BINOL **1** and its application as ligands or chiral auxiliary in enantioselective reduction or oxidation reactions.

In the second part, $C-C$ bond forming reactions, catalyzed or not, involving BINOL **1** as a reagent or ligand will be discussed.

2. BINOL Synthesis and BINOL-Mediated Asymmetric Oxidation and Reduction Reactions

2.1. Properties and Synthesis of Racemic BINOL

2,2′-Disubstituted derivatives of 1,1′-binaphthyl have been widely used in organic synthesis. The stability of the enantiomers, with barriers of rotation ranging from 23.8 kcal/ mol for 1,1′-binaphthyl to more than 46 kcal/mol for 2,2′ diiodo-1,1′-binaphthyl, enables their use as chirality inducers in asymmetric reactions. The most important compound of this type is 1,1′-binaphthyl-2,2′-diol (BINOL) ($C_{20}H_{14}O_2$, mp: 215-217 °C), whose chiral atropoisomers, (R) -1 ($[\alpha]_D^{20}$) $= +35.5$ (THF, $c = 1$), mp: 205-211 °C) and (*S*)-1 ([α]_D²⁰ $=$ - 34.5 (THF, $c = 1$), mp: 205-211 °C), are stable at high temperature¹⁵ and allow numerous asymmetric reactions under various experimental conditions (Scheme 1).

BINOL **1** is the best known representative of axially chiral molecules¹⁶ and was first prepared as a racemate in 1873 by von Richter.17 Since this date, the preparation of racemic BINOL **1** has been widely studied, and a well-established method is the oxidative coupling of 2-naphthol using FeCl₃,¹⁸⁻²¹ K₃Fe(CN)₆,²² Mn(acac)₃ (acac - acetylacetone),^{23,24} Cu—amine complexes,^{25–27} TiCl₄,²⁸ or Ru(OH)_{*x*}/
Al₂O₂²⁹ as coupling agents, with chemical vields up to 90% Al_2O_3 ²⁹ as coupling agents, with chemical yields up to 90% being commonly reached. A mechanistic rationale implying that the formation of one molecule of **1** requires 1 equiv of $Fe³⁺$ has been proposed. This suggests that the radical species **2**• , resulting from a one electron oxidation of **2** with Fe3+, adds to another neutral 2 to form a new $C-C$ bond and generates carbinyl radical **3**• , which eliminates H• and is further oxidized by O_2 to release H^+ and regain aromaticity (Scheme 2).18,30,31

Scheme 2

These coupling reactions are not catalytic processes and require more than stoichiometric amounts of the metal salts. A few exceptions are the coupling that proceeds catalytically under ultrasonic irradiation of aerated powder mixtures of 2-naphthol and FeCl₃⁺⁶H₂O (2 mol %) at 50 °C,^{20,32,33} FeCl₃/ $A₂O₃,^{34,35}$ the use of Cu(II)-amine complex (1 mol %),^{27,36-38}
VO(acac), ³⁹ methyltrioxo rhenium⁴⁰ an alumina-supported $VO(acac)₂$,³⁹ methyltrioxo rhenium,⁴⁰ an alumina-supported copper(II) sulfate catalyst (20 mol %) under aerated conditions, $41-43$ a vanadyl phosphate (VOPC), 44 and mesoporous molecular sieves⁴⁵ (Scheme 3).

Scheme 3

2.2. Preparation of (R) and (S)-BINOL

The synthesis of enantiomerically pure (*R*)- or (*S*)-BINOL **1** has been extensively studied, and two major different approaches have been developed: enzymatic or chemical resolution of racemic BINOL **1** and direct stoichiometric or catalytic oxidative coupling synthesis.46

2.2.1. Resolution of Racemic BINOL

2.2.1.1. Enzymatic Resolution of Racemic BINOL. The recent development of enzyme catalysis in organic synthesis for kinetic resolution of racemates has attracted the attention of organic chemists because of its synthetic utility. One of the first efficient methods for enzymatic resolution of *rac*-BINOL **1** was described in 1989 by Kazlaukas. It is based on the cholesterol esterase-catalyzed enantiospecific hydrolysis of binaphthol esters. $47-51$ A simple synthetic scale (200) g) procedure has been detailed for hydrolysis of the dipentanoate ester catalyzed by crude, inexpensive enzyme (bovine pancreatic acetone powder (PAP)). Each enantiomer was obtained in more than 60% theoretical yield and 99% enantiomeric purity (Scheme 4).

Scheme 4

Table 1. Enzyme-Catalyzed Enantioselective Transacylation

Furthermore, an enantioselective enzyme-catalyzed transacylation reaction has been reported by Lin et al.^{52,53} In this case, the enantioselective transacylation of *rac*-1-indanol **5** with *rac*-1,1′-binaphthyl-2,2′-dibutyrate **6** afforded (*S*)-1 indanol **5**, (*R*)-1-indanylbutyrate **7**, (*S*)-1,1′-binaphthyl-2,2′ diol **1**, and (*R*)-1,1′-binaphthyl-2,2′-dibutyrate **6**. Among many enzymes tested, porcine pancreatic lipase (PPL) showed the best results in both chemical yield and enantioselectivity. Porcine pancreatin (PN) and crude cholesterol esterase from PAP had moderate reaction rates and high enantioselectivities (Table 1).

Cavazza et al. reported an enzymatic resolution of *rac*-BINOL **1** based on a monomethyl etherification reaction promoted by a transport protein, bovine serum albumin (BSA) .⁵⁴⁻⁵⁶ In this case, enantiomeric excesses only up to 59% and 41% have been encountered respectively for (*R*)- BINOL **1** and the methylated (*S*)-product **8** (Scheme 5).

Scheme 5

On the other hand, better results have been obtained using lipoprotein lipase enzymes from *Pseudomonas sp.* and *Pseudomonas fluorescens* for the enantioselective resolution and desymmetrization of racemic BINOL **1**, leading to enantioselectivities up to 80% ee.⁵⁷ Moreover, candida antartica lipase B (CALB) was found to efficiently catalyze hydrolysis of *O*-butyryl-BINOL, yielding optically active (*R*)- BINOL **1** with 91% ee at 80 °C in 45% yield. It is noteworthy that the reaction temperature and the nature of the acyl group of the substrate had a good influence on the reactivity and enantioselectivity (Scheme 6).⁵⁸

More recently, optically active 1,1′-binaphthyl-2,2′-diol **1** was synthesized by oxidative coupling of 2-naphthol using *camellia sinensis* cell culture⁵⁹ or horseradish peroxidase^{60,61}

as a catalytic system. In these cases, enantiomeric excesses of up to 64% have been encountered (Scheme 7).

2.2.1.2. Chemical Resolution of Racemic BINOL. The chemical resolution of *rac*-BINOL **1** has been extensively reported in the literature, and in all cases the methods are based on the easy separation of the pair of diastereomers derived from the reaction of *rac*-BINOL **1** with a chiral auxiliary. Thus, Jacques et al. were the first to describe the synthesis of cyclic binaphthyl phosphoric acids **10** from *rac*-BINOL **1** and their successful resolution via their cinchonine salts.⁶²⁻⁶⁴ The (R) - and (S) -enantiomers of BINOL 1 were obtained in respectively 24% (96% ee) and 26% isolated yield (90% ee) (Scheme 8).

Scheme 8

Nevertheless, the overall resolved yield is only moderate and the enantiomeric purity is not satisfactory (respectively 96% and 90% ee for (*R*)-**1** and (*S*)-**1**). Moreover, cinchonine is expensive and often recovered in contaminated form. In 1990, a similar method was reported by Miyano et al. by replacement of the cinchonine salt by the less expensive (*R*)- 2-aminobutanol.65 In this case, although both enantiomers are pure, they are obtained in even lower yield (respectively 30 and 15% for (*R*)-**1** and (*S*)-**1**) (Scheme 9).

On the other hand, Hu et al.^{66,67} and De Lucchi et al.^{68,69} have reported independently the resolution of *rac*-BINOL **1** via the formation of phosphoramidates derived from optically active phenethylamines $11-13$, which are readily accessible and widely used basic resolving agents. Compared to the above-mentioned methods, a significant enhancement of overall yield (up to 69% for (R) -1 and 71% for (S) -1) and enantiomeric purity (100% for both enantiomers) has been achieved with all three amines **¹¹**-**13**. Moreover, the resolving agents can be recovered in 80% yield with their original enantiomeric purity (Scheme 10).

Scheme 10

Another efficient, practical, and inexpensive method described in 1993 by Brunel et al.⁷⁰ involves the tricoordinated compound **16**, easily prepared from phosphorus trichloride and L-menthol (Scheme 11). This methodology has been recently reproduced and improved by Tang et al.71,72

Scheme 11

Compound **15** reacts with *rac*-BINOL **1**, leading to a 1:1 mixture of diastereomers **17** and **18** in quantitative yield. Complete separation of the two diastereomers was achieved in a single recrystallization from diethyl ether. Oxidation with 30% hydrogen peroxide led to the expected compounds **19** and 20, which were reduced with LiAlH₄ to afford enantiomerically pure (*R*)-**1** and (*S*)-**1** in 81 and 85% overall yield, respectively (Scheme 12).

The preparation of enantiomerically pure BINOL **1** has also been achieved from *rac*-BINOL **1** via cyclic borate ester **23**, formed from the reaction of racemic 1,1′-binaphtholborane **21** with cinchonine **22** in THF.73-⁷⁵ Thus, under the chosen experimental conditions, one diastereomer is soluble while the other precipitates (Scheme 13).

The same methodology has been applied using (*S*)-proline instead of cinchonine, but a decrease of enantioselectivity (down to 86% ee) was noticed.⁷⁶⁻⁷⁸

Recently, methods of resolution using chiral acyl chlorides for a simple two-step separation of pure enantiomers of BINOL **¹** in 80-95% yield have appeared in the literature (Scheme 14).69,79-⁸¹

Scheme 14

Simple and convenient methods of resolution of *rac*-BINOL **1** have been widely developed through selective crystallization of diastereomeric complexes obtained using various chiral auxiliaries. Thus, chiral *m*-tolyl methyl sulfoxide **28**, 82,83 chiral tartaric acid derivative **29**, 84,85 (1*R*,2*R*) diaminocyclohexane **30**,^{86–88} (*S*)-proline derivatives **31a**-
31h ^{89–92} and more recently chiral *N*-henzylcinchonidinium **31b**, ⁸⁹-⁹² and, more recently, chiral *N*-benzylcinchonidinium chloride **32**,⁹³⁻⁹⁹ (*R*)- α -methylbenzylamine **11**,^{73,100} and
threq.(18.2S)-*N*-henzyl-*N N*-dimethyl-[1.3-difydrocyl-1-(4'*threo*-(1*S*,2*S*)-*N*-benzyl-*N*,*N*-dimethyl-[1,3-difydrocyl-1-(4′ nitrophenyl)]-2-propyammonium chloride **33**¹⁰¹ proved to be efficient (Scheme 15).

Table 2. Resolution of BINOL 1 Using Various Resolving Agents

In all cases, the resolution was achieved in moderate to very good chemical yields (38-99%) and in enantiomeric excesses varying from 66 to 99% ee depending on the nature of the chiral auxiliary used (Table 2).

2.2.2. Enantioselective Synthesis of BINOL **1** by Oxidative Coupling of 2-Naphthol

Although enantioselective oxidative coupling of 2-naphthol with chiral catalysts provides one of the simplest routes to optically active BINOL **1**, only a few attempts to develop such an approach have been reported. Wynberg et al. were the first to describe an oxidative coupling of 2-naphthol by stirring a mixture of cupric-(*S*)-phenylethylamine **32** complex and 2-naphthol **2** in equimolar quantities at room temperature under a nitrogen atmosphere (Scheme 16).26

Although BINOL **1** was obtained in 63% yield, only 3% ee was obtained. On the basis of these results, Brussee et al. found that the replacement of (*S*)-phenylethylamine **32** by (S) - α -methylphenylethylamine (amphetamine) led to a beneficial increase of enantioselectivity.102,103 Under these conditions, (*S*)-BINOL **1** was obtained in 94% yield and an enantiomeric excess up to 96% ee (Scheme 17).

Nevertheless, this system requires a large amount of chiral amine, up to 8 equiv with respect to 2-naphthol **2**. Recently,

Kocovsky et al. improved this reaction using a $CuCl₂$ sparteine complex (1/1 ratio) in a stoichiometric amount, leading to the formation of the expected chiral BINOL **1** in very high enantiomeric excesses but in only 36% chemical yield.104-¹⁰⁹

Lipshutz et al. reported in 1994 an asymmetric synthesis of BINOL **1** involving an intramolecular oxidative coupling of cyanocuprate intermediates.¹¹⁰ In this approach, inexpensive 1-bromo-2-naphthol **34** was converted to dibromide **36** with chiral diol **35**. Subsequent treatment with *tert*-BuLi followed by addition of solubilized CuCN presumably led to the in situ formation of a cyanocuprate **37** which produced enantiomerically pure (*S*)-BINOL **1** in 86% yield upon exposure to NBS (Scheme 18).

Scheme 18

A remarkable result was obtained using an enantioselective electrocatalytic oxidative coupling of 2-naphthol **2** on a 2,2,6,6-tetramethylpiperidin-1-yloxyl (TEMPO)-modified graphite felt electrode in the presence of $(-)$ -sparteine. It allowed the synthesis of (*S*)-BINOL **1** in 94% yield and 99% ee (Scheme 19).¹¹¹

On the other hand, Ohkubo et al. reported a novel photoaccelerated asymmetric synthesis of an (*R*)-BINOL catalyzed by Δ -[Ru(menbpy)₃]²⁺ with Co(acac)₃ as oxidant. Because the one-electron oxidation potential of 2-naphthol is +1.34 V vs SCE in MeCN, Δ -[Ru(menbpy)₃]³⁺, formed by an oxidative quenching of Δ -[Ru(menbpy)₃]²⁺ with Co-(acac)3, can oxidize 2-naphthol **2** efficiently so as to generate

the corresponding radical, which produces a precursor of (*R*)- BINOL **1** (Scheme 20).112,113

In the same area, Katsuki et al. reported the enantioselective synthesis of (*R*)-BINOL **1** with moderate to good enantioselectivity (65% ee) via aerobic oxidative coupling of 2-naphthol using chiral (NO)Ru(II)-salen complex as a catalyst under irradiation of visible light.¹¹⁴

Among the numerous syntheses of BINOL derivatives described in the literature, we have decided to focus our interest on slightly modified BINOL's. In this context, we only report the synthesis of F_8 BINOL derivative, an electronically perturbed version of BINOL with remarkable configurational stability. Thus, the electron deficient nature of the aromatic rings raises the oxidative stability of **39** compared to **1** as well as increases the acidity of the hydroxyl groups. Racemic **39** was prepared from commercially available starting materials and resolved by fractional crystallization of diastereomeric bis[(-)menthoxycarbonyl] derivatives **40** and **41** (Scheme 21).¹¹⁵

Scheme 21

More recently, several novel chiral oxovanadium(IV) complexes **⁴²**-**⁴⁴** have been designed and prepared for the asymmetric catalytic oxidative coupling of 2-naphthols with

high enantioselectivities of up to 80% ee depending on the nature of the catalyst considered (Scheme 22).¹¹⁶⁻¹²¹

3. BINOL-Mediated Asymmetric Oxidation and Reduction Reactions

3.1. Enantioselective Reduction Reactions

3.1.1. Reduction of Ketones

Among a wide variety of asymmetric reactions, enantioselective reduction of prochiral carbonyl compounds is one of the most extensively studied transformations.¹²² A standard method to this end stems from the use of complex metal hydride reagents bearing chiral alkoxyl or amino ligands. In this area, a number of reagents have been elaborated by modification of lithium aluminum hydride (LiAlH4) with alkaloids, sugars, etc. Nevertheless, the general difficulty in obtaining a high level of stereoselectivity was attributed to the presence of multiple reactive species which are placed in different chemical and chiral environments. In this context, only Al reagents bearing a ligand with a C_2 axis (such as BINOL **1**) led to high enantiomeric excesses in the enantioselective reduction of aromatic ketones.

A reducing agent called (*R*)-BINAL-H **45** was prepared by mixing LiAlH₄ and an equimolar amount of enantiomerically pure BINOL 1 in THF (Scheme 23).¹²³⁻¹²⁷ However,

Scheme 23

this initial attempt failed to reduce prochiral acetophenone in significant enantiomeric excess (2% ee). This result prompted Noyori et al. to examine a further modification of the reagent by adding a second simple alcohol (EtOH): replacement of either hydrogen by an EtO moiety produces an identical, single aluminum hydride reagent (Scheme 24).¹²⁸

A series of prochiral alkyl phenyl ketones were reduced with 3 equiv of (R) -46 or (S) -46 at low temperature $(-100$ to -78 °C) and gave the corresponding carbinols in high enantiomeric excess with generally good enantioface dif-

ferentiation, as shown in Table 3, entries $10-11$. This methodology was recently applied to the synthesis of chiral lactones through enantioselective reduction of a carbonyl group in $meso-1,2$ -dicarboxylic anhydrides.^{129,130}

Table 3. Enantioselective Reduction of Carbonyl Group with BINAL-H 46

Entry	Ketone	BINOL 1 confign in 46	Yield (%)	ee (%)
$\mathbf{1}$	$C_6H_5COCH_3$	\boldsymbol{R}	61	95(R)
$\overline{2}$	$C_6H_5COC_2H_5$	S	62	98(S)
3	$C_6H_5CO - n - C_3H_7$	S	92	>99(S)
$\overline{4}$	$C_6H_5CO-n-C_4H_9$	S	64	>99(S)
5	$C_6H_5COCH(CH_3)_2$	\boldsymbol{S}	68	71(S)
6	$C_6H_5COC(CH_3)_3$	\boldsymbol{R}	80	44 (R)
7	α -tetralone	\boldsymbol{R}	91	74(R)
8	$C_6H_5COCH_2Br$	\boldsymbol{R}	97	95(S)
9	(E) -n-C ₄ H ₉ CH=CHCOCH ₃	\boldsymbol{R}	47	79(R)
10	(E) -n-C ₄ H ₉ CH=CHCO-n-C ₅ H ₁₁	\boldsymbol{R}	91	91(R)
11	(E) -cyclo-C ₅ H ₉ CH=CHCO-n-C ₅ H ₁₁	\boldsymbol{R}	91	92(R)
12	NBn NBn BnN BnN н. н. н н ດ= \circ റ	\boldsymbol{R}	76	90
13	н H O n Ĥ ő н	\boldsymbol{R}	69	84

The reactivity of the carbonyl substrates toward BINAL-H reduction seems to be greatly influenced by steric effects, various electronic factors including LUMO level and electron density at the carbonyl carbon, flexibility of the molecule, etc. Thus, the early or late nature of the transition state (energy, shape, tightness, atomic distances, etc.) varies subtly from reaction to reaction. Although it is not easy to present a unifying view, the stereochemistry of carbonyl group reduction may be rationalized as proceeding through the pathway depicted in Scheme 25.

The reaction is initiated by the complexation of the $Li⁺$ cation to the oxygen atom of the $C=O$ group, which is thus activated. The product-determining hydride transfer then occurs from Al to the carbonyl carbon by way of a six-membered ring transition state (Zimmerman-Traxler). In this case, the two binaphthoxy oxygens of (*S*)-BINAL-H **46** are diastereotopic, and therefore, two types of chairlike transition states **47** and **48** are possible. When a prochiral unsaturated ketone, UnCOR, is for example put into **47**, there emerges two diastereomeric transition states **49** and **50**. A series of (*S*)-BINAL-H reductions, giving *S* products selectively, indicates that transition state **49** is generally favored over the *R*-generating transition state **50**. This **49** vs **50**

relative stability would be controlled primarily by interactions between the axially located groups. Thus, transition state **50** possessing axial-Un and equatorial R groups is destabilized by the substantial n/π type electronic repulsion between the axially oriented binaphthoxyl oxygen and the unsaturated moiety.

It is noteworthy that, in 1988, Chong et al. had already mentioned this methodology for the asymmetric reduction of acylstannanes in order to prepare enantiomerically enriched α -alkoxystannanes¹³¹ in enantiomeric excesses up to 94% ee (Scheme 26).

Scheme 26

R
\n
$$
R = Me
$$
\n
$$
H = 78^{\circ}C
$$
\n
$$
R = Me
$$
\n
$$
Vield: 58%
\n
$$
69%
\n69%
\n60%
\n60%
\n61%
\n62%
\n63%
\n64%
\n65%
\n66%
\n68%
\n69%
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\n67%
\n68%
\n69%
\n60%
\n61%
\n62%
\n62%
\n63
$$
$$

Among all the enantioselective methods described in the literature, borane reduction of $C=O$ or $C=N$ groups has appeared to be one of the most convenient. Thus, examples have recently been described using **51**, a chiral catalyst obtained from lanthanum triisopropoxide and (*R*)-BINOL **1** (Scheme 27).132 Some typical results are summarized in Table 4.

Scheme 27

Table 4. Ketone Reduction with Borane and (*R***)-51 Catalyst**

As an extension of this catalytic system, Uang et al. have used a chiral aluminum complex generated in situ from aluminum isopropoxide and (*R*)-BINOL **1**. Under these conditions, alcohols were obtained in high yields and ee's of up to 83%.133,134

Recently, Tang et al. reported the synthesis of a new 2,2′- *O*,*O*-(1,1′-binaphthyl)-dioxo-*N*,*N*-diethylphospholidine borane complex **52** and its use as a catalyst in the enantioselective borane reduction of acetophenone leading to the expected alcohol in 99% ee at 100 °C (Scheme 28).¹³⁵

The diastereoselective reduction of chirally modified keto acids is a practical asymmetric method for the synthesis of hydroxy acid derivatives. Thus, using (*R*)-BINOL **1** as chiral auxiliary in the diastereoselective reduction of *γ*-keto acid esters led to enantiomeric excesses up to 82% ee (Scheme 29).136

Scheme 29

An enantioselective synthesis of chiral alcohols has been developed by Nakai et al. It involves an asymmetric catalytic hydrosilylation of prochiral ketones with HSi(OEt)₃ using (*R*)-BINOL-Ti(O*i*-Pr)2 **⁵³** complex. Moderate enantioselectivities ranged from 10 to 55% ee depending on the nature of the ketones (Scheme 30).¹³⁷

Scheme 30

The catalytic asymmetric reduction of different ketones with transient hypervalent silicon hydrides has been also described (Table 5).¹³⁸

Trialkoxysilanes, upon activation by a small amount of a chiral nucleophile such as BINOL **1**, underwent addition to the carbonyl group, forming the corresponding silyl protected

Table 5. Enantioselective Reduction of Ketones with BINOL-Modified Silicon Hydrides

Scheme 31

alcohols, which were cleaved during the workup to give the enantiomerically enriched product alcohols (Scheme 31).

Recently, the asymmetric reduction of benzophenones multisubstituted at the *ortho*-positions was achieved in good enantiomeric excesses via hydrosilylation catalyzed by in situ generated chiral diamine **⁵⁷**-Zn-BINOL complex under mild conditions wherein PHMS served as a safe and inexpensive source of hydride (Scheme 32).139

Scheme 32

3.1.2. Reduction of Imines

In contrast to the various studies dealing with the asymmetric reduction of the carbonyl group using chiral BINOL reagents, few examples dealing with the asymmetric reduction of the C=N group are known.¹⁴⁰ To our knowledge, the first example using a BINAL-H **46** reagent was described in 1987 by Hutchins et al., where prochiral diphenylphosphinylimines had been asymmetrically reduced to chiral diphenylphosphinylamines in quite good yields and moderate enantioselectivities (Table 6).¹⁴¹

Table 6. Asymmetric Reduction of Diphenylphosphimylimines Using Reagent BINAL-H 46

		$R_1R_2C=N-P^2$ Ph Ph	BINAL-H 46 THF	$R_1R_2CH-N-P$	ဂု _{, Ph} Ph	
entry	R_1	R ₂	reagent	Т (°C)	yield $(\%)$	ee (%)
1	Me	Ph	$(S) - 46$	-78	84	13
\overline{c}	Me	Ph	$(S) - 46$	25	35	77
3	Me	β -naphthyl	$(S) - 46$	25	16	98
4	Me	α -naphthyl	$(R) - 46$	-78	66	52
5	Me	Et	$(S) - 46$	-40	63	40
6	Me	c -C ₆ H ₁₁	$(R) - 46$	-78	56	52

In 2006, a highly enantioselective Meerwein-Schmidt-Ponndorf-Werley reduction of *^N*-phosphinoyl ketimines by (BINOL)Al(III)/2-propanol was reported. Yields ranging between 79 and 85% with high enantiomeric excesses (93- 98%) are observed for a wide range of stucturally diverse ketimines. A bicyclic chelation model has been proposed to account for this high selectivity (Scheme 33).¹⁴²

Scheme 33

In 1992, Hino et al. reported a preliminary communication on the asymmetric reduction of imine **60** promoted by stoichiometric chiral dialkoxyborane reagent **21** (Scheme 34).143 However, although the reduction was achieved in a good chemical yield, amine **61** was obtained in low enantiomeric excess (20% ee).

Scheme 34

Recently, an optically active lithium-alkoxide-catalyzed asymmetric reduction of imines with trimethoxyhydrosilane was reported by Hosomi et al., affording the expected amines in moderate ee (up to 72% ee) (Scheme 35).¹⁴⁴

Scheme 35

3.2. Enantioselective Oxidation Reactions

3.2.1. Epoxidation of Olefins

The asymmetric epoxidation of olefins is one of the most useful and challenging reactions in modern organic chem-

istry. In this area, chiral BINOL **1** has been extensively used in order to develop catalytic or stoichiometric methods of enantioselective epoxidation. Recently, Shibasaki et al. used lanthanum- or ytterbium-modified BINOL derivatives as catalysts in the asymmetric epoxidation of enones using hydroperoxides such as *tert*-butyl hydroperoxide (TBHP) (Table 7).145-¹⁴⁸

Table 7. Enantioselective Epoxidation of Enones Using (*R***)-Ln Catalysts**

It appears that the enones were best converted to the corresponding epoxides by using the ytterbium complex generated from Yb(O*i-*Pr)3, BINOL derivative **64**, and molecular sieves (MS) 4 Å in THF. It seems likely that the difference in ionic radius between lanthanum and ytterbium as well as the difference in Lewis acidities accounts for the observed metal effects. It is noteworthy that the enantioselective epoxidation of α , β -unsaturated ketones catalyzed by (*R*)-Ln BINOL complexes was greatly improved (up to 98% ee) by adding of a small amount of triphenylphosphine oxide^{149,150} or water (ca. 5 equiv to Ln).¹⁵¹ In the latter case, the role of water can be explained in the following way. Water molecules coordinate to the Yb (atoms) and thereby control the orientation of the hydroperoxide to form an appropriate asymmetric environment for epoxidation (Scheme 36).

Scheme 36

 $X = (R)$ -BINOL 1 or other ligand

Despite excellent yields and enantiomeric excesses, this catalytic process is still unsatisfactory in terms of its rather low reactivity. This catalytic process has been improved using a novel multifunctional asymmetric catalyst, the $La BINOL$ -triphenyl arsine oxide (Ph₃As=O) complex exhibiting higher activity and selectivity compared to those of Ln-BINOL complexes and affording optically active epoxy ketones with broad generality in up to 99% yield and more than 99% ee (Table 8).¹⁵²

Table 8. Enantioselective Epoxidation of Enones Using (*R***)-La Catalysts**

			(R) -La cat. (5 mol\%)		
		TBHP			
	R R_{2}	\circ MS 4A, r.t., THF		R , R	
			time	yield	ee
entry	R_1	R_2	(h)	(%)	(%)
1	Ph	Ph	0.25	99	96
2	$i-Pr$	Ph	1.5	95	94
3	Ph	tert-Bu	7	94	98
$\overline{4}$	Ph	Me	6	92	99
5	Me	C_5H_{11}	1.5	89	95

This catalytic system has been successfully applied in the enantioselective total syntheses of protein kinase C activator (+)-decursin **⁷⁸** and its derivatives, peucedanol **⁷⁶** and prantschimgin **77** (Scheme 37).^{153,154}

Scheme 37

As an extension of this study, the catalytic asymmetric synthesis of α , β -epoxy esters, aldehydes, amides, and *γ*, δ epoxy- β -ketoesters has been investigated with high enantioselectivities. This methodology is illustrated in Scheme 38, with the reaction proceeding smoothly with high substrate generality (Scheme 38).¹⁵⁵⁻¹⁵⁸

Scheme 38

Combination of (R) -BINOL 1 and Et₂Zn affords, in situ, a catalyst for homogeneous epoxidation of (E) - α , β -enones

to the corresponding *trans*-epoxy ketones using cumylhydroperoxide (CHP) as oxidant. Values of ee of up to 96% can be achieved conveniently at room temperature (Scheme 39).159

A mechanistic rationale has been postulated suggesting an electrophilic activation of the substrate by the chiral BINOL-Zn catalyst and a subsequent nucleophilic attack of the oxidant.

In 2005, Shibasaki et al. reported works describing a one pot sequential catalytic asymmetric epoxidation-regioselective epoxide-opening process. The $Sm-BINOL-Ph₃As=$ O complex, the catalyst for highly enantioselective epoxidation of α , β -unsaturated amides, undergoes dynamic ligand exchange by the subsequent addition of $Me₃SiN₃$. The newly generated samarium azide complex works as a highly reactive reagent for the regioselective ring opening of α , β -epoxyamides, affording *anti-β*-azido-α-hydroxyamides in up to 99% yield and up to greater than 99% ee (Table 9).¹⁶⁰

Table 9. One Pot Sequential Catalytic Asymmetric Epoxidation Regioselective Epoxide-Opening Process with Various r**,***â***-Unsaturated Amides**

	R_{3}	Sm-(S)-BINOL-Ph ₃ As=O $(1:1:1)(10 \text{ mol\%})$			
R	R	TBHP (1.2 equiv.) THF, MS4A, r.t.		НΟ	
entry	\mathbf{R}_1	R ₂	NR_3R_4	yield (%)	ee (%)
1	Ph	н	NMe ₂	99	99
$\overline{2}$	1-naphthyl	Н	NMe ₂	98	98
3	2-furyl	Н	NMe ₂	45	99
$\overline{4}$	3-furyl	Н	NMe ₂	94	99
5	n -propyl	н	NMe ₂	85	98
6	cyclohexyl	н	NMe ₂	75	99

Another example of epoxidation of olefins, using a stoichiometric amount of a chiral reagent (*R*)-**79** generated from phosphoryl chloride and (*R*)-BINOL **1**, has been described by Berkessel et al. (Scheme 40).¹⁶¹

Scheme 40

Only low enantiomeric excesses were obtained (maximum 22% ee) (Table 10). A possible mechanistic interpretation involving a chiral $(RO)₂P(O)$ -OOH intermediate has been suggested.

Scheme 39 Table 10. Enantioselective Epoxidation of Olefins Using Hydroperoxide Generated from 79

$$
\underset{R_{2}}{\overset{R}{\sum}}\underset{R_{4}}{\overset{1.5\text{ equity. 79}\text{ MeOH}}{\longrightarrow}}\underset{1.5\text{ equity. }H_{2}O_{2},\text{-5°C}}{\overset{R}{\sum}}\underset{R_{2}}{\overset{R}{\sum}}\underset{R_{4}}{\overset{R}{\sum}}
$$

3.2.2. Oxidation of Sulfides

The catalytic asymmetric oxidation of sulfides to chiral sulfoxides in moderate yield with *tert*-butyl hydroperoxide and renewable furylhydroperoxide **81** was achieved using titanium complex **80** produced in situ from a titanium alkoxide and (R) -BINOL 1 (Table 11).¹⁶²⁻¹⁶⁸ The highest enantioselectivities (up to 93% ee) were obtained with 2.5 mol % of catalyst **80**. The presence of more than 1 equiv of water with respect to sulfide was essential for the oxidation, and it was found that the water was necessary, not only to produce an effective catalyst for a highly enantioselective oxidation, but also to maintain the catalytic activity of complex **80** for a longer period of time. A moderate level of asymmetric amplification was observed with this catalytic system. From a mechanistic standpoint, it was revealed that the initial asymmetric oxidation to the chiral sulfoxide is followed by the kinetic resolution of the sulfoxide. The nature of the active species involved in this process has not yet been clearly established.¹⁶⁹

Table 11. Enantioselective Oxidation of Sulfides Using Catalyst 80

ϵ	S $\ddot{}$	ТВНР or	2.5 mol% 80	\mathcal{O}_s S.	
R,	R_{2}	Me ™Me OOH 81	$CH2Cl2$, -20°C	R,	R_{2}
		$80 =$		Ti(O <i>i</i> -Pr) ₄ , (<i>R</i>)-BINOL 1 , H ₂ O 1 / 2 / 1	
entry	R_1	R_2	oxidant	yield (%)	ee (%)
$\mathbf{1}$	$n \pm 1$	M_{\odot}	TDIID	ϵ	02

Investigations were also carried out on the oxidation of sulfides to sulfoxides by Scettri et al. using Cp_2TiCl_2 as transition metal catalyst in the presence of (*R*)-BINOL **1** as chiral ligand and activated 4 Å molecular sieves. In this case, methyl aryl sulfoxides are isolated in good yields and moderate ee's (Table 12).¹⁷⁰

Table 12. Enantioselective Oxidation of Sulfides Using Cp₂TiCl₂/ **BINOL Sytem 82**

÷,	твнр	82		O
R,	$\ddot{}$ R,	CH ₂ Cl ₂ , -20°C, MS 4Å	R,	R_{2}
	$82 =$	$Cp2TiCl2, (R)-BINOL 1$		
			yield	ee
entry	R_1	R ₂	(%)	(%)
	<i>p</i> -tolyl	Me	95	45
$\overline{2}$	Ph	Me	65	39
3	p -bromophenyl	Me	83	37
4	p -chlorophenyl	Me	81	38
5	p -methoxyphenyl	Me	80	40

4. BINOL-Mediated Asymmetric C−**C Bond Forming Reactions**

4.1. Ene Reaction

4.1.1. Introduction

The ene reaction, initially known as the "Alder-ene reaction", goes back to 1943, when Alder found that propene reacts with maleic anhydride in benzene at high temperature and pressure to give allylic succinic anhydride.¹⁷¹ The first example of an intramolecular ene reaction (actually a carbonyl ene reaction) was even described earlier by Schmidt in 1927 with the thermal cyclization of citronellal into isopulegol.172 However, because of the high activation energy (higher than that of the corresponding Diels-Alder reaction),¹⁷³ ene reactions generally require high temperature and pressure, a fact that has limited the widespread use of this reaction in its uncatalyzed version.

The first Lewis acid-catalyzed ene reaction was due to Colonge¹⁷⁴ and Normant¹⁷⁵ in the mid-1950s. It involved chloral, isobutene, and $AICI₃$ (Scheme 41).

Scheme 41

$$
\begin{array}{cccc}\n & & 0 & \text{AICI}_3 \ (10 \text{ mol\%}) \\
 & + & \text{H} & \text{CCl}_3 \xrightarrow{\text{petroleum ether}} & \text{CCl}_3\n\end{array}
$$

Blomquist¹⁷⁶ (limonene; formaldehyde; BF₃-dihydrate) and Snider¹⁷⁷ (β -pinene; acrolein, methyl acrylate, or methyl vinyl ketone; AlCl₃) then provided examples that proved the usefulness and efficiency of Lewis acid catalysis for the ene reaction. Over the years, examples of Lewis acid promoted ene reactions became more and more frequent, and several reviews can be found in the literature.¹⁷⁸⁻¹⁸² One of the big advantages of this reaction over the addition of allylmetals to carbonyl compounds is atom economy.

With respect to the use of BINOL, nearly all reported examples involve Ti-centered Lewis acids. However, the first successful example of asymmetric induction, which deals with a cyclization, was reported by Yamamoto with a BINOL-Al catalyst.183,184 Indeed, a catalyst prepared in situ from dimethyl zinc and optically pure (R) - $(-)$ -BINOL 1 promoted the cyclization of 3-methylcitronellal **83** into methylisopulegol **84** as a single isomer of 90% ee, but it needed to be used in excess (Scheme 42).

The same catalyst cyclized (*Z*)-methylfarnesal in 91% ee, but (*E*)-methylfarnesal only gave 32% ee and 3-dimethyl**Scheme 42**

citronellal gave 0% ee.185,186 Finally, Yamamoto reported the first example of an asymmetric intermolecular ene reaction promoted by chiral catalysts which were prepared from both enantiomers of 3,3′-bis(triphenylsilyl)-BINOL and Me₃Al.¹⁸⁷

Ti-centered Lewis acids have had a major impact on the development of the asymmetric carbonyl-ene reaction.

4.1.2. Addition of Enes to Glyoxylate Esters and Fluoral

The greatest contribution in the field is due to Nakai and Mikami,¹⁸⁸ who, since 1989, studied the glyoxylate-ene reaction in great detail. Extensive screening of various chiral catalysts derived from optically active diols indicated that BINOL-Ti catalysts provided the best levels of enantiocontrol (Table 13).¹⁸⁹⁻¹⁹²

Table 13. Catalytic Enantioselective Addition of Enes to Glyoxylate Esters (P) -BINOL 1 · TIY $(OLPr)$

Feringa showed that optically active α -hydroxy propionic ester-substituted indenes and naphthalenes can be produced under similar conditions (Table 14).¹⁹³

Table 14. Enantioselective Synthesis of α -Hydroxy Propionic **Ester Substituted Indenes and Naphthalenes**

Mikami also showed that, under $\text{BINOL}-\text{TiCl}_2$ catalysis, ketene silyl enol ethers and glyoxylate esters do not lead to a Mukaiyama aldol adduct but rather to the ene adduct, which

is formed with complete control of absolute and relative stereochemistry (Scheme 43).194

To expand the scope of the reaction and its applicability in synthesis, Mikami developed a fluoral-ene reaction which led to the expected homoallylic alcohols, with enantioselectivity above 95% ee, and the Friedel-Crafts adducts, also with excellent enantioselectivity.¹⁹⁵ The sense of induction was the same as the one observed with glyoxylate esters: (R) -BINOL-Ti leads to (R) - α -CF₃-alcohols. Results with chloral were not as good with respect to both regioselectivity and enantiocontrol (Table 15).

Table 15. Asymmetric Synthesis of Homoallylic Alcohols

4.1.3. Kinetic Optical Resolution, Double Asymmetric Induction, and Asymmetric Desymmetrization

The kinetic optical resolution of a racemic compound can be regarded as an intermolecular desymmetrization process (vide infra) exemplified by the ene-reaction depicted in Scheme 40. Thus, the syn ene-adduct was obtained almost exclusively with an excellent level of optical purity by Mikami (Scheme 44).196

Scheme 44

Moreover, the double asymmetric induction involving the "matched" pair [(*S*)-BINOL/(*R*)-ene] led to a single diastereoisomer in high yield whereas the "mismatched" pair [(*R*)- $BINOL/(R)$ -ene] resulted in the formation of a 1:1 mixture of diastereomers in low yield (Scheme 45).

The same group also performed the asymmetric desymmetrization of the following symmetrical bis-allylic ether under the same experimental conditions. A single ene-adduct was obtained with very high diastereoselection and optical purity (Scheme 46).

Scheme 45

Finally, double asymmetric induction is also described with chiral ene-like $(-)$ - β -pinene or $(+)$ - α -fenchene under $(+)$ or $(-)$ -BINOL-Ti complex catalysis,¹⁹⁷ and other examples of desymmetrization were described by Mikami using formaldehyde and vinyl or alkynyl analogues of glyoxylates.196

4.1.4. Nonlinear Effect (NLE) and Structure of the Active Species

The fact that the optical purity of the products of a given reaction can exceed the optical purity of the catalysts (or chiral auxiliaries) involved has been known since Kagan's pioneering work in the field.198,199 It is commonly referred to as a "positive nonlinear effect"^{167,200-204} or "asymmetric amplification".205,206

Mikami and Nakai reported a remarkable level of positive NLE for the ene reaction between methylstyrene and methylglyoxylate.200,207 Thus, the following graph shows the variation of ee of the ene adduct **102** as a function of the ee of the BINOL ligand. It clearly indicates that the use of BINOL of only 35-40% ee is good enough to provide the same level of enantiomeric excess for the ene adduct than when prepared from enantiomerically pure BINOL (Scheme 47, Figure 1).

Scheme 47

Considering the fact that the chiral Ti complex derived from a 100% ee BINOL reacted 35 times faster than the counterpart derived from *rac*-BINOL, the authors proposed that this remarkable effect resulted from a marked difference in the stabilities of the diastereomeric dimer forms of the catalyst.²⁰⁸ The meso dimer (R, S) is the most stable and hence the less reactive, and therefore, it acts as a trap toward the minor (*S*)-enantiomer of the ligand (Scheme 48).

Scheme 48

 (R,R) \longrightarrow 2 (R) (R, S) (R) + (S)

These results on NLE and their interpretation in terms of dimeric forms of the catalyst initiated further studies on the structure of the active species. Thus, Mikami^{209,210} and Nakai²¹¹ independently reported the activity of a complex obtained in the absence of 4 Å molecular sieves. A dimeric Ti-*µ*3-oxo structure was proposed for this complex, which displayed a high level of positive nonlinear effect.

4.1.5. Asymmetric Activation

The asymmetric activation of catalysts can occur according to several different strategies: (a) starting from a racemic catalyst, it is possible to introduce a chiral deactivating catalyst, (b) or a chiral activating ligand, or (c) starting from a chiral catalyst, it is possible to add a racemic or chiral activating ligand.

The first approach, known as the chiral poisoning strategy, was used successfully by Faller. Starting from chloral, he established that a $rac{\text{PaC-BINOL}}{\text{TiCl}_2(\text{O}i\text{-Pr})_2}$ catalyst can be poisoned by an inactive enantiopure catalyst $[TiCl₂(Oi-Pr)₂$ (D)-DIPT] to yield a catalyst capable of a better asymmetric induction than the one obtained from catalysts directly prepared from enantiopure BINOL.212,213

Mikami applied the second and third strategies to the ene reaction. Indeed, he established that the reaction of methylstyrene with methylglyoxylate under a *rac*-BINOL/TiCl₂- $(Oi-Pr)_2$ catalyst could be improved by adding (R) -BINOL as a chiral ligand. The same group also showed through kinetic studies that the catalyst prepared from (*R*)-BINOL and $\text{TiCl}_2(\text{O}i\text{-Pr})_2$ could be activated by adding $(R)\text{-BINOL}$, rac-BINOL, or other chiral diols (Table 16).^{214,215}

Table 16. Asymmetric Activation of Catalysts in the Ene Reaction $m(n+1)$ $\pi(n+2)$

Finally, Vallée recently obtained similar results with conformationally flexible biphenols and (*R*)-BINOL/Ti(O*i*- $Pr₂$. He proposed that a new catalytic species is responsible for the high enantiomeric excesses found.216

4.1.6. Ene Cyclization

Although examples of ene cyclization are numerous in the literature, examples of intramolecular ene reactions promoted by chiral Lewis acids are scarce, and this is even more true for BINOL-Lewis acids. Mikami reported the efficient synthesis of six- and seven-membered ring carbocycles and heterocycles by using a BINOL-derived titanium perchlorate catalyst.217,218 The triflate catalyst also gave a high level of enantioselectivity, but the tetrafluoroborate counterpart provided only moderate optical purity and very low yield (Table 17).

Table 17. Asymmetric Ene Cyclization by Various Chiral Lewis Acids (0.5010) (0.7010)

н	(R) -BINOL 1 : TiCl ₂ (O <i>i</i> -Pr) ₂ (20 mol%) AgY (40 mol%), MS 4Å, CH ₂ Cl ₂	OН		
103			104	
entry	AgY	T $^{\circ}$ C)	yield (%)	ee (%)
	none	0	64	88
$\overline{2}$	AgClO ₄	rt	43	91
3	AgOTf	rt	40	92
4	AgBF ₄	rt	16	55

Finally, ene cyclization has been applied to the preparation of natural product precursors (V*ide infra)*. Two syntheses of $(-)$ -ipsdienol **107**, an aggregation pheromone of bark beetles, involving a glyoxylate ene reaction were reported by Mikami.219,220 The following one takes advantage of the reactivity of vinylsulfides and allows the obtention of the target molecule (Scheme 49).

Scheme 49

Vinylsulfides were also involved in a very nice application of a tandem and two directional asymmetric fluoral ene reaction to the synthesis of a new antiferroelectric liquid crystalline molecule 109 (Scheme 50).^{221,222}

Scheme 50

The carbonyl-ene reaction was also useful for the construction of more complex natural products such as the $C_{10}-C_{15}$ and $C_{30}-C_{35}$ fragments of rapamycin, in which the 1,4 remote stereocontrol was ensured by a double asymmetric induction (Scheme 51).²²³

The same group also applied the reaction to alkynylogous and vinylogous glyoxylates and proposed, starting from a chiral ene, a double asymmetric synthesis of isocarbacyclin analogues **119** bearing a 2-allenyl side chain (Scheme 52).²²⁴

Scheme 52

The synthesis of the A ring of vitamin D was also proposed by Mikami based on a regioselective propiolate ene reaction/ enantioselective epoxidation/BINOL-Ti-catalyzed carbonyl ene cyclization sequence.^{225,226} A more recent paper established the superiority of 6,6'-Br₂-BINOL over BINOL in that specific case (Scheme 53).²²⁷

Scheme 53

Although less efficient, the cyclization of malondialdehyde is also noteworthy. Indeed, the major isomer is an intermediate in the synthesis of the highly functionalized carbon ring skeleton of the trichlothecene anguidine 127 (Scheme 54).²²⁸

Scheme 54

4.2. Aldol and Related Reactions

4.2.1. Introduction

Although the Lewis acid-promoted aldol-type reaction of nucleophilic alkenes with carbonyl compounds has been known since the late 1930s, the introduction of silyloxyalkenes by Mukaiyama in 1973 marked a major breakthrough. Indeed, under TiCl4 catalysis, silyl ketene acetal **128** reacts with benzaldehyde **129** to give the corresponding aldol adduct **130**. ²²⁹-²³² The versatility of the Mukaiyama reaction, as it is commonly referred to, has since then been widely recognized and discussed in a number of reviews (Scheme $55)$. $233 - 235$

Scheme 55

$$
\begin{array}{cccc}\n & & & \text{Ticl}_4 & (1.1 \text{ equiv.}) & 0 & \text{OTBS} \\
 & & \text{PhCHO} & & & \text{CH}_2\text{Cl}_2, -78 \text{ °C} & \text{EtO} & \text{Ph} \\
\hline\n & 128 & 129 & & & & 130\n\end{array}
$$

The first successful enantioselective Mukaiyama reaction with substoichiometric amounts of a chiral Lewis acid was reported in 1986 by Reetz.²³⁶ The best enantiomeric excess (66% ee) was obtained with a pinanediol-Al(III) **¹³³** catalyst, but the yield remained low (15%) (Scheme 56).

Scheme 56

A BINOL-Ti(IV) catalyst, prepared from (*S*)-BINOL bislithium alkoxide and $TiCl₄$, had also been tried by the same authors, but the results were disappointing (enol ether **132** and isobutyraldehyde **131**: 8% ee).

However, a few years later Mukaiyama reported more encouraging results with a closely related BINOL-Ti complex.237 Up to 85% ee's were reported with a complex formed from (*R*)-BINOL and (*i*-PrO)2Ti(O) and for which structure **136** was proposed (Table 18).

These two results underline an important observation pointed out by Nelson in his excellent review²³³ on catalyzed enantioselective aldol additions of latent enolate equivalents and that we have already mentioned: *enantiomeric excesses are highly sensitive to minor variations in Ti-based catalyst preparation*.

Table 18. Enantioselective Mukaiyama Reaction Catalyzed by 136

RCHO $\ddot{}$	OTBS tBuO 135	CFi-C 136 (20 mol%) toluene	tBuO	OTBS R \star 137
		T	yield	ee
entry	R	$({}^{\circ}C)$	(%)	(%)
1	Ph	-43	91	60
\overline{c}	p -ClPh	-78	91	44
$\overline{3}$	β -naphthyl	-78	98	80
4	(E) -PhCH=CH	-78	98	85

The Mukaiyama group²³⁸⁻²⁴¹ also studied other types of catalysts principally based on Sn(II) but which do not use BINOL as ligand and are therefore beyond the scope of the present review.

4.2.2. Titanium-Catalyzed Aldol Reaction

Mikami studied the addition of ketene silyl acetals of esters or thioesters to various aldehydes catalyzed by 5 mol % of a complex prepared from (R) -BINOL and TiCl₂(O*i*-Pr)₂. The reaction led to the silyl ether of the expected aldol product in good yield and enantiomeric excesses. A series of crossover experiments involving "labeled" ketene acetals allowed the authors to propose a silatropic ene mechanism in which the silyl group undergoes a $[1,3]$ O-O migration (Table 19).243

Table 19. Enantioselective Aldol Reaction Catalyzed by the TiCl2(O*i***-Pr)2/1 System**

OTMS + EtS 138	RCHO	(R) -BINOL 1 : TiCl ₂ (Oi-Pr) ₂ 1 : 1 (5 mol%) toluene. 0 °C	EtS	OTMS R 139
entry	R		yield (%)	ee (%)
	BnOCH ₂		81	94
2	$n-C_8H_{17}$		60	91
3	$i-Pr$		61	85
4	(E) -CH ₃ CH=CH		60	81
5	$n-BuO2C$		84	95

Further studies showed that the introduction of alcohols as additives often resulted in an increase in the optical yields of the reaction. Best results were obtained with phenols (Table 20).244,245

Table 20. Effects of Additives in the Enantioselective Aldolization Reaction Catalyzed by the Ti(*Oi***-Pr)4/1 System**

OTMS $\ddot{}$			1) (R)-BINOL 1 : Ti(Oi-Pr) ₄ 1 : 1 (10 mol\%)		OН
t-BuS 140	$H_{17}C_8$ н 141	$2) H+$	additive, MS 4Å, toluene, 0 °C	t-BuS	$C_{8}H_{17}$ 142
entry	additive		equiv/Ti	yield (%)	ee (%)
2 3 4	none $(CF_3)_2CHOH$ C_6F_5OH C_6H_5OH		2	53 56 62 61	91 92 97 96

In the same paper, Mikami also showed that enoxysilacyclobutane is more effective as a silyl nucleophile than the usual trimethylsilyl enol ether in the enantioselective catalysis of the reaction. Furthermore, the same group then established that the observed anomalous nonchelation complexation with benzyloxyaldehydes resulted from the steric hindrance of this particular silyl group.246

Almost contemporaneously with Mikami's initial study on the silatropic ene mechanism, Keck reported results that highlight the sensitivity of enantiomeric excesses to reaction variables on a very similar system: the addition of 1-(*tert*butylthio)-1-((trimethylsilyl)oxy)ethene (**140**) to aldehydes under different BINOL-Ti complexes catalysis.²⁴⁷ Both the stoichiometry of the catalyst components and the solvent had a significant effect on the results of the reaction (Table 21).

Table 21. Enantioselective Catalyzed Aldolization of Benzaldehyde under Keck's Conditions

			1) (S)-BINOL 1 : Ti(Oi-Pr)			
	OTMS $\ddot{}$	PhCHO	\sim n m $(x \text{ mol}\%)$		OH	
t-BuS			MS 4Å, solvent	t-BuS		C_8H_{17}
140		129	$2) H+$		142	
		catalyst		T	yield	ee
entry	n/m	mol %	solvent	$(^{\circ}C)$	(%)	(%)
1	1:1	10	CH ₂ Cl ₂	Ω	27	36
$\overline{2}$	2:1	10	CH_2Cl_2	0	45	62
3	2:1	20	toluene	0	54	95
$\overline{4}$	1:1	20	diethyl ether	-20	90	97
5	2:1	20	diethyl ether	-20	86	91

Table 22. Enantioselective Catalyzed Aldolization of Various Aldehydes under Keck's Conditions

The conditions reported in entry 4 were then successfully applied to various aldehydes (Table 22).

The same group then studied the condensation of the Danishefsky diene with a selection of different aldehydes under the catalysis of the 2:1 BINOL/Ti(O*i*-Pr)₄ complex and in the presence of catalytic amounts of $CF₃CO₂H$. The β -hydroxy vinylogous esters could then be transformed into the corresponding dihydropyrone derivatives in the presence of trifluoro acetic acid (see hetero-Diels-Alder section).²⁴⁸

The basic reagent vinyloxyethoxy titanium triisopropyloxide easily modified by replacing two of the ispropyloxides with (*R*)-BINOL reacts with simple, prochiral aldehydes to give chiral *â*-hydroxy-1,3-dioxolanes in good chemical yields and with enantiomeric ratios up to 99:1 (Scheme 57).²⁴⁹

4.2.3. Titanium-Catalyzed Vinylogous and Homoaldol Reactions

BINOL complexes have also been used in various vinylogous aldol reactions involving acyclic²⁵⁰ or cyclic enol

ethers. Thus, in the presence of BINOL-Ti catalyst, silyoxydioxine **145** and benzaldehyde led to the corresponding alcohol **146** with very good yield and enantiomeric excess.251 Yields obtained with cinnamaldehyde and pentanal were lower although the enantiomeric excess remained good (Table 23).252-²⁶⁰

Table 23. Vinylogous Catalyzed Aldol Reaction Using Acyclic and Cyclic Enol Ethers

RCHO $\ddot{}$	OTMS	(R) -BINOL 1 : Ti $(O$ <i>i</i> -Pr) ₄ 1 : 1 (20 mol\%) MS 4Å, THF, -78 °C to rt	ŌH
	145		146
		yield	ee
entry	R	(%)	$(\%)$
	Ph	93	92
2	(E) -PhCH=CH	58	79
3	$n-Bu$	37	76

Figadere studied the condensation of 2-trimethylsilyloxyfuran **147** on various aldehydes in the presence of a BINOL-
Ti catalyst and different activators,^{261–263} including the product of the reaction. As the result of a careful study, he was able to report the first example of a catalytic asymmetric autoinductive aldol reaction (Table 24).

Table 24. Catalytic Asymmetric Autoinductive Aldol Reaction

2-Trialkylsilyloxyfurans were also added to aldimines in the presence of a BINOL-Ti(O*i*-Pr)4 catalyst by Martin: yields were good with diastereomeric excesses (*erythro*/ *threo*) between 42% and 92% and enantiomeric excesses up to 54%.264

Finally, 1-ethoxy-1-trimethylsilyloxy cyclopropane **149** was recently added to different aldehydes in the presence of two BINOL $-Ti(X)$ (OTf) catalysts (X = O*i*-Pr or OTf).²⁶⁵ Yields of the homoaldol adducts and corresponding lactones were good to excellent, but enantiomeric excesses, measured on the experiments on benzaldehyde, were low with both

Table 25. Homoaldol Adducts and Lactones Synthesis Catalyzed by 150

catalysts ($X = \text{OTf}$, 17% ee; $X = \text{O}i\text{-Pr}$, 15% ee) (Table 25).266

4.2.4. Other Metals

4.2.4.1. Boron Lewis Acids. In 1993, Yamamoto proposed the diastereoselective synthesis of anti β -amino esters from optically active aldimines, under the catalysis of complexes formed from (*R*)- or (*S*)-BINOL and triphenyl borate at room temperature in CH_2Cl_2 ^{267,268} The products were then converted into *â*-lactams (Table 26).

Table 26. Synthesis of *anti***-***â***-Aminoesters**

It is interesting to note that, when using a chiral silylketene acetal **153**, the stereoselectivity could be reversed and syn adducts were obtained predominantly (Table 27).

4.2.4.2. Zr Lewis Acids. Recently, Kobayashi reported the use of chiral zirconium catalysts prepared from (*R*)-

BINOLs and Zr(O*t*-Bu)4. The reactions were carried out with 10 mol % catalyst and in the presence of an additive (propanol in most examples).269 Enantiomeric excesses with (*R*)-BINOL were modest but increased when using (*R*)-3,3′ diiodo-BINOL. The catalyst prepared from this ligand also allowed the preparation of anti aldol adduct (up to 90% de) while aldol reactions are generally syn stereoselective (Table 28).

Table 28. Zirconium-BINOL-Catalyzed Synthesis of Aldol Adduct 156

PhCHO	OTMS +	$BINOLs: Zr(Ot-Bu)$ (10 mol\%)	OH	O
129	SEt 138	PrOH (50 mol%) toluene, 0 °C	Ph	SEt 156
entry		ligand	yield (%)	ee (%)
	(R) -BINOL		72	57
2		(R) –3,3'-I ₂ –BINOL	81	92
3		(R) -3,3'-Br ₂ - BINOL	54	61
4		(R) -3.3'-Cl ₂ -BINOL	48	35

In the same area, Wang et al. have recently demonstrated that the direct aldol-type condensation of aldehydes with ethyl diazoacetate catalyzed by the chiral complex of BINOL derivatives $-Zr(Ot-Bu)_{4}$ gave β -hydroxy α -diazo compounds with moderate enantioselectivities (53-87% ee) (Table 29).270

Table 29. Zirconium-**BINOL-Catalyzed Aldol-Type Condensation of Aldehydes with Ethyl Diazoacetate**

RCHO	+	$BINOLs: Zr(Ot-Bu)$ $(2.2:1)$ (20 mol%)		OH ∩
	H OEt	DME, $H2O$, -35°C		OEt R
	157			158
			yield	ee
entry		aldehyde	(%)	(%)
	PhCHO		40	65
2		3-F ₃ CC ₆ H ₄ CHO	61	65
3	4 -ClC ₆ H ₄ CHO		59	72
4		3-BrC ₆ H ₄ CHO	47	78
5	n -C ₃ H ₇ CHO		82	57
6	furyl-CHO		82	57

A mechanism of this enantioselective condensation is outlined in Scheme 58. In this case, it has been postulated that the Zr(IV) catalyst acts as a Lewis acid activating the aldehyde (Scheme 58).

Scheme 58

Two syntheses of natural products benefit from an application of a vinylogous aldol reaction. Figadère proposed a synthesis of $(+)$ -muricatacin²⁶² and $(+)$ -amino muricata- \sin^{271} as direct applications of his study on the reactivity of 2-trimethylsilyloxyfuran under BINOL-Ti catalysis. Scettri reported a study on the synthesis of a nonracemic 6-(furan-3-yl)-5,6-dihydropyran-2-ones²⁵⁵ and its implementation to the synthesis of manoalide **162**, a sesquiterpene of marine origin (Scheme 59).258,272

Scheme 59

Mikami also showed that the reaction involving the ketene silyl acetal of thioesters could be applied to fluoral **91**, allowing an enantioselective synthesis of CF_3 substituted aldol 163 of biological importance (Scheme 60).^{195,273}

Scheme 60

Finally, a particularly attractive version of the reaction was proposed by the same group: a tandem and two directional enantioselective aldolization. The pseudo- C_2 symmetric product of the condensation between the silyl enol ether and two molecules of the methyl glyoxylate led, through five steps, to a potentially powerful analogue of HIVP inhibitor **165** (Scheme 61).274

Scheme 61

In 2006, the chiral Bronsted acid (*R*)-BINOL **1** was explored as an additive in the l-proline-catalyzed aldol reaction, and the enantioselectivity was improved from 72% ee without additive to 98% ee. This improvement could be attributed to a hydrogen bonding interaction among the chiral diol, the aldehyde, and l-proline which activated the substrate and stabilized the transition state (Table 30).²⁷⁵

Solid crystalline and stable 1,1-diphenyl-1-hydroxy-3 butanone was shown to serve as an excellent precursor of the Al-enolate of acetone generated in situ for Al-BINOLcatalyzed aldol-transfer reactions of aldehydes. The best yields were obtained with electron rich aromatic aldehydes

Table 31. Aldol-Transfer Reactions of Aldehydes with 1,1-Diphenyl-1-hydroxy-3-butanone

and 2-pyridine carbaldehyde, and the latter gave 1-hydroxy-1-(2-pyridyl)-3-butanone in 79% yield (Table 31). 276

4.2.5. Catalytic Asymmetric Nitroaldol Reaction

The concept of heterobimetallic chiral catalysts has been applied in asymmetric nitroaldol reactions. In this case, the most efficient catalyst was found to be the lanthanumlithium-BINOL complex (LaLi-BINOL (**LLB**)) leading to enantiomeric excesses varying from 40 to 96% ee (Table 32).277-²⁷⁹

Table 32. Catalytic Asymmetric Nitroaldol Reaction

A proposed reaction course for an improved catalytic asymmetric nitroaldol reaction is shown in Scheme 62.

First, the tight complex of LaLi-BINOL and LiOH or the high rate of aggregation between LaLi-BINOL and lithium nitronates results in the formation of products with high enantiomeric excesses. Second, much higher reaction rates were observed in all cases; this suggests that heteropolymetallic intermediates such as **II** react with carbonyl compounds much more quickly than heterobimetallic intermediates such as **I** or that the rate of reverse reactions of **Scheme 62**

the type **II** to LaLi-BINOL is much lower than the rate of reactions of the type **^I** to LaLi-BINOL.

As an extension of this work, Shibasaki et al. achieved a kinetic resolution of tertiary nitroaldols derived from simple ketones. In this context, the LaLi-BINOL heterobimetallic complex possesses an excellent selectivity (80-97% ee with $30-47%$ recovery yield) (Table 33).²⁸⁰

Table 33. Kinetic Resolution of *tert***-Nitroaldols**

4.3. Allylation

4.3.1. Introduction

The allylation of carbonyl compounds with allyltin or allylsilane derivatives is a fairly recent reaction. Indeed, the first example of a thermal reaction between allyltin and aldehydes to produce homoallylic alcohols was reported by König and Neumann in $1967²⁸¹$ In the same paper, they also established that the reaction rate could be enhanced by adding $ZnCl₂$ to the reactants. Pereyre then showed that the thermal reaction occurs with allylic rearrangement and remarkable stereospecificity in the case of both isomers of crotyl tri-*n*butyltin **166** and **167** (Scheme 63).282,283

Scheme 63

Calas284,285 showed that the same kind of reaction could be performed with allylsilane derivatives if Lewis acids such as $AICI₃$ or TiCl₄, respectively, were used. With respect to enantioselective catalysis, the first breakthrough is due to Seebach, who prepared optically active dichlorodialkoxytitanium derivatives from (S) - $(-)$ -1-phenylethanol.²⁸⁶

Once again, BINOL-Ti Lewis acids dominate the literature in the field.

4.3.2. Titanium-Catalyzed Allylation Using Allyltin Reagent

In 1993, after Yamamoto had obtained respectable enantiomeric excesses by using chiral acyloxyborane complexes,287 three groups discovered simultaneously that tri*n*-butylallyltin undergoes enantioselective reactions with a wide variety of aldehydes provided optically active BINOL-Ti(Oi-Pr)₂ or BINOL-TiCl₂ was used. Thus, Mikami and Nakai used a complex prepared in situ from (*S*)-BINOL and $TiCl₂(Qi-Pr)₂$ in the presence of 4 Å molecular sieves. Such a complex used in 10 mol % catalyzes the condensation of various tri-*n*-butylallyltins on glyoxylates with both good diastereoselectivity and enantioselectivity.288,289 Condensations also occur with different substituted allylsilanes, but yields were lower (vide infra) (Scheme 64).

Scheme 64

The same catalyst, used by Tagliavini and Umani-Ronchi in 20 mol %, also in the presence of activated 4 Å MS, promotes the allylation of simple achiral aldehydes with good chemical yields and excellent enantiomeric excesses (Table 34).290

Table 34. Ti-**BINOL-Catalyzed Allylation of Achiral Simple Aldehydes**

RCHO	.SnBu ₃ 169	(S) -BINOL 1 : TiCl ₂ (Oi-Pr) ₂ 1 : 1 (20 mol%) MS 4Å, CH ₂ Cl ₂		OH
entry	R	T $(^{\circ}C)$	yield (%)	ee $(\%)$
	C ₇ H ₁₅	-20	83	97
2	C_5H_{11}	-20	75	98
3	$PhCH=CH$	-20	38	94
4	Ph	rt	96	82

Keck prepared a similar chiral catalyst by two different methods: first (method A), by heating a mixture of (*R*)- BINOL and $Ti(Oi-Pr)₄ (1:1)$ with powdered 4 Å MS; second (method B), by heating a 2:1 mixture of (*R*)-BINOL/Ti(O*i*- $Pr)$ ₄ in the presence of catalytic amounts of CF_3CO_2H (3) mol % relative to Ti). Both catalysts were then employed successfully at 10 mol % (Table 35).^{291,292}

Table 35. Ti-**BINOL-Catalyzed Allylation of Aldehydes under Keck's Conditions** $2.24 - 1.22$

RCHO	\mathcal{L} SnBu ₃ + 169	catalyst (10 mol\%) MS 4Å, CH ₂ CI ₂	ŌΗ	
entry	R	catal (method) ^a	yield (%)	ee (%)
\sim	Ph \sim	Α $\overline{}$	88 \sim \sim	95 \sim \sim

2 Ph B 98 92 3 cyclohexyl A 66 94

4 cyclohexyl B 95 92 4 cyclohexyl B 95 92
5 (E)-Ph-CH=CH B 78 77 (E) -Ph-CH=CH

 $a \text{ } A = (R) \text{-BINOL/Ti}$ $(Oi \text{-} Pr)_4$ (1:1), MS 4 Å; B = (R)-BINOL/Ti(O*i*-Pr)₄ (2:1), CF_3CO_2H , 3 mol % relative to Ti.

Keck also noticed a case of chiral amplification (positive NLE): in one case, the use of a (*R*)-BINOL, of only 50% ee, gave the homoallylic alcohol with 88% ee.²⁹³ This observation was confirmed later by Faller, who proposed an explanation similar to the one put forward by Mikami for the glyoxylate-ene reaction (vide supra). The fact that the positive NLE could result from the formation of a rather inactive *meso* dimeric catalyst led Faller to propose a chiral poisoning strategy.212 Thus, a racemic BINOL-Ti(O*i*-Pr)2 catalyst was poisoned by an enantiopure D-DIPT-Ti(O*i*-Pr)2 catalyst (which is inactive). Results reported clearly show the effectiveness of this approach (Table 36).

Table 36. Influence of Poison Amount on the Enantioselectivity in the Ti-**BINOL-Catalyzed Allylation of Benzaldehyde**

PhCHO 129	SnBu ₃ 169	rac-BINOL 1 : Ti(Oi-Pr) ₄ (20 mol\%) poison, MS 4Å CH ₂ Cl ₂ , -78 to -23 °C	Ph	ΟН 170
		poison	yield	ee
entry	D-DIPT	$Ti(Oi-Pr)4$	(%)	(%)
	none	none	65	0
2	15 mol %	$10 \text{ mol } %$	40	39
3	20 mol %	$10 \text{ mol } %$	47	81
	30 mol %	$10 \text{ mol } %$	63	91

Recently, such a methodology was successsfully applied by Barua et al. in the first step of a concise total synthesis of the antifungal antibiotic $(+)$ -Preussin LLL (Scheme 65).²⁹⁴

Always with the goal of reaction rate enhancing and greater selectivity, Yu proposed various accelerators of general structure R_n MSR' (M = Si, B, Al).²⁹⁵⁻³⁰⁰ The key to this approach, which proved to be efficient, is the strong Sn-S and M-O bonds relative to the weaker M-S bond (Table 37).

Table 37. Influence of "Accelerator" Amount on the Enantioselectivity in the Ti-**BINOL-Catalyzed Allylation of Benzaldehyde**

The same authors also proposed an approach involving the use of a Lewis acid, $B(OMe)₃$.²⁹⁷

In 2007, Walsh et al. developed a new catalyst for the asymmetric allylation of ketones readily prepared from titanium isopropoxide and BINOL (1:2 ratio) in the presence of 2-propanol. This catalyst functions under concentrated reaction conditions providing tertiary homoallylic alcohols with a high level of enantioselectivity (Table 38).³⁰¹

Allylation with functionalized allyltin under BINOL-Ti catalysis is rare. Thomas reported the reactivity of a chiral silyloxy reactant,³⁰² and Keck, the use of allyltin derivatives with various aldehydes including furaldehyde, benzaldehyde, and benzyloxyethanal. All enantiomeric excesses were excellent (93-99%); the most spectacular was obtained with furaldehyde **170** with only 5 mol % catalyst (Scheme 66).³⁰³

Scheme 66

Since these works, numerous studies have appeared in the literature increasing the performance of the catalyst by using numerous additives.304-³¹⁵

Finally, the only example of cyclization promoted by a BINOL-Ti catalyst we are aware of was performed by Yamamoto on the following iminoallyltin reactant **173**. Unfortunately, the Ti complex had to be used in excess to achieve good enantioselectivity (Scheme 67).³¹⁶

Scheme 67

4.3.3. Titanium-Catalyzed Allylation Using Propargyl and Allenyltin Reagents

The substitution of allyltin reagents by allenyl tri-*n*-butyltin **176** in an enantioselective transformation involving BINOL

was proposed by Keck in 1994.³¹⁷ Good to excellent ee's $(82-99%)$ were obtained on various aldehydes, but $50-100$ mol % catalyst was necessary and reaction times were all >72 h. These drawbacks were overcomed by Yu, who applied his accelerator strategy to this problem. Indeed, by using R_n MSR^{\prime} accelerators, the BINOL $-$ Ti could be reduced to 10 mol % and reaction times to $10-20$ h (Table 39).²⁹⁵

Table 39. "Accelerator" Influence on the Enantioselectivity in the Ti-**BINOL-Catalyzed Allenyltin Addition to Aldehydes**

RCHO +	SnBu ₂	(S) -BINOL 1 : Ti $(Oi$ -Pr) \div 1 (10 mol\%) $Et2BS-i-Pr$, $CH2Cl2$, -20°C	R)	OН
	176			177
entry	R	(h)	yield (%)	ee (%)
	$PhCH_2CH_2$	9	86	94
3	$n - C_6H_{13}$ Ph	ӌ 15	75 52	92 92

The same approach proved also to be efficient when applied to propargyltin. Indeed, optically active allenyl alcohols were obtained in that way.^{318,319} Finally, when $1,4$ bis(tri-*n*-butyltin)but-2-yne or 1-trimethylsilyl-4-tri-*n*-butyltin-but-2-yne **178** was used, alcohols **179** were prepared in good yields and excellent enantiomeric excesses (Table 40).312,320-³²³

Table 40. Ti-**BINOL-Catalyzed Propargyltin Addition to Aldehydes**

4.3.4. Other Allylmetal Reagents

Examples of enantioselective allylation using allyltrimethylsilane are scarce, since the addition of this reagent is ¹⁰³-¹⁰⁴ time slower than that of allyl tri-*n*-butyltin.324 Therefore, the above-mentioned catalysts are not sufficiently Lewis acidic to promote the reaction with good results. For example, the use of (S) -BINOL-TiBr₂ only enabled the preparation of homoallylic alcohols with 40% yield and 30% ee. Carreira overcame the problem by using a catalyst prepared from enantiopure BINOL and TiF4. Yields and ee increased to $90-94\%$ on various aldehydes, $311,325$ owing to the greater electronegativity of fluorine compared to chlorine or bromine and the strong Ti-F bond $(E_{\text{diss}} = 140 \text{ kcal/}$ mol), which prevents desilylation of the formed trimethylsilyl ethers to give trimethylsilylfluoride (Si $-F$ bond: $E_{diss} = 135$ kcal/mol) (Scheme 68).

Majumdar explored the reactivity of various aldehydes in a Sn(II)-mediated Barbier-like reaction under BINOL-Ti- (O*i*-Pr)2 catalysis. The ee's of the resulting homoallylic alcohols ranged from 18 to 63%.326

Finally, Tagliavini expanded the scope of the reaction to ketones by using tetraallyltin as allylating reactant in the

Scheme 68

presence of a BINOL $-Ti(O_i-P_r)_2$ catalyst. Enantiomeric excesses are moderate to good with aromatic and α , β unsaturated ketones but remain low with aliphatic ones (Table 41).327

Table 41. Catalyzed Allylation of Ketones Using the TiCl2(O*i***-Pr)2/BINOL System**

	ShBu ₃		(S) -BINOL 1 : TiCl ₂ (Oi-Pr) ₂ 1:1		HO	
R'	169		CH ₂ Cl ₂ , rt		R	R
entry	R	R'	catal (%)	(h)	yield (%)	ee (%)
	Ph	Me	20	20	75	52
2	2-naphthyl	Me	40	4	94	80
3	(E) -PhCH=CH	Me	20		83	51
4	$n - C_6H_{13}$	Me	20	3	89	29

4.3.5. Zr Lewis Acids

Although the synthesis of homoallylic alcohols is very efficient with BINOL-Ti catalysts, reaction times are often very long when no activator is used. This observation led Bedeschi et al. to study a Lewis acid catalyst obtained from $Zr(Oi-Pr)₄-i-PrOH$ and BINOL. Results were very satisfying for aromatic aldehydes (good yields and ee, along with a dramatic reduction in reaction times) but not as good for aliphatic aldehydes (lower yields) (Table 42).^{307,328}

Table 42. Catalyzed Allylation of Aldehydes Using the Zr(O*i***-Pr)4/***i***-PrOH/BINOL System**

Although 4 Å MS are not necessary for the catalyst preparation, their inclusion is essential to achieve good enantioselectivity and in a reasonable time.³⁰⁶ Later, the same authors showed that the reaction could also be performed with ee's up to $85-87\%$ with a catalyst obtained from $ZrCl_4$ and BINOL. The introduction of 4-*tert*-butylcalix[4]arene allowed a diminution of the catalyst and an enhancement of the ee (Table 43).³²⁹

Finally, Mikami proposed to use a catalyst, prepared from BINOL and Zr(O*t*-Bu)4, associated with a product-like activator, (R) - $(+)$ - α -methyl-2-naphthalene-methanol. Al-

Table 43. Catalyzed Allylation of Aldehydes Using $ZrCl₂(THF)₂/$ **BINOL System**

RCHO	169	SnBu ₂	(S) -BINOL 1 : ZrCl ₂ (THF) ₂ additive solvent	ΟН	
entry	R	BINOL:Zr	additive $(\%)$	yield (%)	ee (%)
1 ^a	$n-C7H15$	2:1	none	65	85
2^{α}	Ph	2:1	none	40	87
3 ^b	$n-C7H15$	1:1	calix $[4]$ arene (5)	65	96
4 ^b	Ph	1:1	calix $[4]$ arene (5)	85	85

a Reaction performed using 10 mol % of catalyst in Et₂O. *b* Reaction performed using 5 mol % of catalyst in CH_2Cl_2 .

though, the ee remained moderate $(40-57%)$, the influence of the activator is clear.330

In 2005, Loh et al. reported an efficient catalytic enantioselective allylation of aldehydes involving a moisturetolerant chiral BINOL-indium complex. Thus, the allylation of a variety of aromatic α , β -unsaturated and aliphatic aldehydes resulted in both moderate to good yields and high enantioselectivities of up to 86% ee (Table 44).^{331,332}

Table 44. Enantioselective Allylation of Various Aldehydes Catalyzed by the Chiral (*S***)-BINOL**-**In(III) Complex**

RCHO ÷	SnBu ₂		(S) -BINOL 1 : InCl ₃ (20 mol)	OН	
169	$CH2Cl2/H2O$				
entry	R		yield (%)	ee (%)	
	n -C ₈ H ₁₇		75	78	
2	Ph		53	83	
3	2-naphthyl		47	78	
4	BnO(CH ₂) ₂		46	86	

Finally, this reaction has been performed in ionic liquid [hmim],³³³ which has been demonstrated to be an efficient and enantiomerically friendly reaction medium for the enantioselective allylation of aldehydes via a chiral indium- (III) complex. Thus, allylation of a variety of aromatic, α, β unsaturated, and aliphatic aldehydes resulted in moderate to good yields and enantioselectivities of up to 92% ee (Table 45).334

Table 45. Enantioselective Allylation of Various Aldehydes Catalyzed by the Chiral (*S***)-BINOL**-**In(III) Complex in** $[\text{hmin}][\text{PF}_6]$

RCHO	SnBu ₂ 169	(S) -BINOL 1 : InCl ₃ (20 mol%) PF_6^- $n = 6$	OН R
entry	R	yield (%)	ee (%)
	$n-C_8H_{17}$	72	26
2	Ph	62	70
3	2-naphthyl	46	78

Having optimized the reaction parameters for the allylation process, Loh et al. have extended the catalytic enantioselective addition of allyltrimethylstannane to a selection of ketones. In all cases, the homoallylic alcohols were obtained

 $4 \qquad \qquad \text{PhCH}_2\text{CH}_2 \qquad \qquad 40 \qquad \qquad 74$

in good enantioselectivities not only with aromatic but also with aliphatic and cyclic aromatic ketones (Table 46).³³⁵

Recently, an extension of such a reaction has been realized and applied to the enantioselective indium-mediated allylation of hydrazones, leading to moderate results using (*R*)- BINOL as ligand (Scheme 69).³³⁶

Scheme 69

4.3.6. Other Complexes

Baba showed that a tetraallyltin/(*R*)-BINOL system associated with methanol as an additive could lead, from acetophenone **184**, to the obtention of the corresponding homoallylic alcohol **186** with up to 60% ee (Scheme 70).³³⁷

Scheme 70

Ph
\n
$$
^{7h}
$$
 $^{16} + [7]^{5h}$ 6h 7h 8h $^{99\%}$ $^{99\%}$ $^{99\%}$ 186 $^{60\%}$ $^{60\%}$ $^{60\%}$

In 1996, Kocienski reported a synthesis of the C_1-C_{21} fragment of the immunosuppressant rapamycin, which involved an asymmetric allylation to introduce the first stereogenic center of the target molecule. The reaction was run on a 350 mmol scale and (*R*)-BINOL was recycled (Scheme 71).³³⁸

Scheme 71

More recently, Zimmer achieved a formal synthesis of (*R*)- α -lipoic acid 191 and its (*S*)-antipode based on the asymmetric synthesis (98% ee) of both enantiomers of 6-hydroxy-8-nonene carboxylates under (*R*)- or (*S*)-BINOL-Ti(O*i*-Pr)2 catalysis (Scheme 72).339

4.4. Alkynylation

Optically active propargylic alcohols are versatile precursors for the synthesis of many chiral organic compounds. Thus, the asymmetric addition of alkynylzinc to aldehydes is an iñportant method of synthesizing such compounds.³⁴⁰⁻³⁴⁴ Nevertheless, a single and pratical method to make chiral propargylic alcohols from aromatic aldehydes has been developed in the presence of a titanium alkoxide catalyst prepared in situ from $Ti(Oi-Pr)_4$ and (R) -BINOL 1, leading to excellent enantioselectivity of up to 96% ee (Table 47). $321,345$

Table 47. Enantioselective Addition of Phenylacetylene to Aromatic Aldehydes Promoted by the BINOL-**Ti Complex**

RCHO	(R)-BINOL 1 : Ti(Oi-Pr) ₄ 0.2 : 0.1 + Ph -=	ZnMe ₂ or ZnEt ₂ solvent, rt	ОН R \mathbb{R}_{Ph}
		yield	ee
entry	R	(%)	(%)
	Ph	77	96
$\overline{2}$	p -F-Ph	74	96
3	p -NO ₂ -Ph	79	97
$\overline{4}$	p -Cl-Ph	81	92
5	p -Me-Ph	93	97
6	o -Me-Ph	81	96
7	m -Me-Ph	77	94
8	p -MeO-Ph	97	94

It is noteworthy that activation of chiral titanium(IV) complexes with chiral or achiral activators has been found to provide higher levels of enantioselectivities than those attained with an enantiopure catalyst.

Since these pioneering studies, Pu et al. have extended the scope of this reaction, demonstrating that the BINOL-Ti(O*i-*Pr)4 catalyst system is also highly enantioselective for the phenylacetylene addition to aliphatic aldehydes as well as α , β -unsaturated aldehydes (Table 48).^{349,350}

Table 48. Enantioselective Addition of Phenylacetylene to Aliphatic and α , β -Unsaturated Aldehydes Promoted by the **BINOL**-**Ti Complex**

Recently, this reaction was successfully applied to the phenylacetylene additions to ketones. In this case, most of the chiral tertiary propargyl alcohols that were generated from aromatic ketones were obtained with 85-92% ee at room temperature (Table 49).³⁵¹

Table 49. Enantioselective Addition of Phenylacetylene to Ketones Promoted by the BINOL-**Ti Complex**

R R	(R) -BINOL 1 : Ti $(O$ <i>i</i> -Pr) 0.2 : 0.1 $Ph-$ ZnEt ₂ solvent. rt	OН R'	\mathbb{R}_{Ph}
entry	ketone	vield (%)	ee (%)
	acetophenone	67	85
2	3'-methoxyacetophenone	81	92
3	3'-methylacetophenone	66	90
4	3'-bromoacetophenone	68	86
5	1'-naphthacetophenone	71	91
6	4-methyl-2-pentanone	91	63
7	benzalacetone	88	73

As an extension of these studies, Pu et al. discovered the first highly enantioselective reaction of an alkynoate with aromatic and α , β -unsaturated aldehydes for the synthesis of optically active *^γ*-hydroxy-R,*â*-acetylenic esters in enantiomeric excesses of up to 95% ee in numerous cases (Table 50).352,353

Table 50. Enantioselective Addition of Methyl Propiolate to Aldehydes

In 2005, Shibasaki et al. developed a new catalytic asymmetric alkynylation of aldehydes promoted by In(III)/ BINOL complex and Cy₂NMe. Dual activation of both substrates due to the bifunctional character of In(III) would make possible a broad range of substrate generality with high enantioselectivity (Table 51).³⁵⁴

Table 51. In(III)-**BINOL Complex-Catalyzed Asymmetric Alkynylation of Various Aldehydes**

4.5. Diels−**Alder Reaction**

4.5.1. Titanium-Catalyzed Diels−Alder Reaction

Since the landmark publication of Yates and Eaton,³⁵⁵ on the Lewis acid-catalyzed Diels-Alder reaction,³⁵⁶ the use of these catalysts has became very popular. Indeed, they both accelerate the reaction and enhance its selectivity. As one of the consequences of this success, a number of reviews are devoted to this area of research.³⁵⁷⁻³⁶¹

The use of chiral Lewis acids to induce enantioselectivity is only 25 years old. The first report by Guseinov³⁶² in 1976 cited a poor enantiomeric excess, but three years later, Koga obtained bicyclic derivatives with up to 72% ee when using chiral alkoxyaluminum dichlorides **194** as Lewis acids (Scheme 73).363

Scheme 73

As for the use of BINOL as chiral ligand, early studies are due to Seebach³⁶⁴ and Reetz,²³⁶ who both used BINOL-Ti complexes, $BINOL-Ti(Oi-Pr)_2$ and $BINOL-TiCl_2$, respectively. The most encouraging results were obtained with the latter (Scheme 74).

Scheme 74

Mikami and Nakai extended their studies on catalysts such as BINOL $-TiX_2$ (X = Cl, Br), which were prepared in situ from Ti $(Oi$ -Pr $)$ ₂X₂, (R)-BINOL, and 4 Å molecular sieves, to the Diels-Alder cycloaddition of 1,3-dienol derivatives added to methacrolein.219,365 The *endo*/*exo* ratios were very high, and enantiomeric excesses were in the $71-86%$ range. A few years later, Mikami reported that endo- and enantioselectivity could be enhanced by using a MS-free BINOL-Ti catalyst (i.e. the catalyst is prepared in the presence of molecular sieves which are then removed by centrifugation).366 The same catalyst also exhibits a significant positive nonlinear effect (NLE) (Table 52).

Table 52. Influence of the Catalyst Preparation on the Diels-**Alder Cycloaddition of 1,3-Dienol Derivatives with Methacrolein**

.	OR $\ddot{}$	CHO	(R) -BINOL 1 : TiCl ₂ (Oi-Pr) ₂ - 1 (10 mol) MS 4Å, CH ₂ CI ₂		ΟR Í‴сно	
	198				endo 199	
entry	R	MS 4 Å	T $({}^{\circ}C)$	yield (%)	endo (%)	ee (%)
$\overline{2}$ 3 4	Me Me COMe COMe	yes removed yes removed	-30 -30 rt rt	43 40 69 63	87 93 97 99	71 85 78 94

Mikami also showed that the use of $6,6'$ -Br₂-BINOL-TiCl2 leads to improvement of endo- and enantioselectivity with respect to the parent BINOL complex when methacrolein was involved but not when 2-bromoacrolein was used.367

Finally, Keck studied the selectivity of the reaction between cyclopentadiene **193** or isoprene **200** and methacrolein or 2-bromoacrolein in the presence of (*S*)-BINOL-Ti(O*i*-Pr)₂ [prepared from (*S*)-BINOL, Ti(O*i*-Pr)₄, and 4 Å MS]. Exo selectivity ranged from 10:1 to 17:1, and enantiomeric excesses were up to 94% in the case of cyclopentadiene 193 and 2-bromoacrolein (Table 53).³⁶⁸

Table 53. Selectivity of the Diels-**Alder Reaction Catalyzed by the Ti(O***i***-Pr)4/BINOL/MS 4 Å System**

4.5.2. B− and Al−BINOL Complexes

In 1990, Kaufmann reported the synthesis and X-ray structure of a *C*₃-symmetric tetradecacyclic diborate complex (propeller complex) which efficiently catalyzed the reaction between cyclopentadiene **193** and methacrolein **198**. The catalyst is obtained from (*S*)-BINOL (3 equiv) and bromoborane-dimethyl sulfide complex (2 equiv) (Scheme 75).^{369,370}

Scheme 75

The same reaction was studied by Wulff with similar catalysts obtained from vaulted biaryls³⁷¹ and by Oh with catalysts prepared from 1,8-naphthalenediyl bis(dichloroborane), a bidentate Lewis acid, 372 and various chiral ligands including BINOL.

Wulff also worked on the influence of catalysts obtained from diethylaluminum chloride and BINOL (or various vaulted biaryls).373 Although good to excellent *exo* selectivities were obtained in all cases, enantiomeric excesses were only modest with BINOL $(13-41\%)$ compared to the ones reached with a vaulted biphenanthrol (VAPOL) **¹¹²**, 88- 98% (Table 54).

Table 54. Selectivity of the Diels-**Alder Reaction Catalyzed by the Et2AlCl/Diol System**

Recently, as part of a study directed toward the Diels-Alder reaction between "noncompatible" dienes and dienophiles by means of a temporary Al or Zr tethering, Olsson reported an interesting result.374 Noteworthy is the fact that $(-)$ -menthol and $(-)$ -8-phenylmenthol did not lead to any enantiomeric excess (Scheme 76).

Scheme 76

Dialkylzinc represents an attractive alternative to trialkylaluminum for preparation of mild Lewis acids.³⁷⁵ The Lewis acid obtained from dimethylzinc and BINOL **1** was investigated for the Diels-Alder reaction of *^N*-alkylacrylamides providing good results in terms of enantioselectivity (Table 55).

Table 55. Reaction of *N***-Alkoxyacrylamides with Cyclopentadiene Catalyzed by (***R***)-Zn-1**

Thus, the superiority of the Zn-BINOL experimental procedure makes it very attractive from a synthetic point of view.376,377

Loh et al*.* developed in 2005 the first chiral indium complex for catalytic asymmetric Diels-Alder reaction by designing a novel catalyst containing InCl3, (*S*)-BINOL, and allyltributylstannane. The cycloaddition of a variety of cyclic and open-chained dienes to 2-methacrolein and 2-bromoacrolein resulted in good yields and excellent enantioselectivities (up to 98% ee) (Table 56). 378

On the other hand, Ward et al. demonstrated the first examples of Diels-Alder reactions where the regio-, diastereo-, and enantioselectivity are controlled by simultaneous coordination of the components to a novel binuclear Lewis acid template (Scheme 77).379,380

4.5.3. BINOL−Lanthanide Complexes

As part of his systematic study of lanthanide triflates as Lewis acids, Kobayashi published in 1993-94 a series of papers describing the enantioselectivity induced by BINOLytterbium³⁸¹ (or $-sc$ and ium³⁸²) triflates in the reaction between cyclopentadiene and various acyl-1,3-oxazolidin-2-ones. Both catalysts were prepared by mixing the metal

triflate with BINOL in the presence of 4 Å molecular sieves and then adding 2.4 equiv of a tertiary amine. The proposed structure, based on 13 C NMR and IR data, involves a Lewis acid-Lewis base interaction between the metal and the oxygen and hydrogen bonds between the nitrogen of the tertiary amine and the proton of the hydroxy functions (Scheme 78).383

Scheme 78

Different groups also showed that both enantiomers of the cycloadduct could be obtained by using the same enantiomer of BINOL and only changing an achiral ligand (3-phenylacetylacetone, PAA) (Table 57).384-³⁸⁶

This result was accounted for in the following way by the authors: in the absence of PAA, the dienophile approaches on site A, while when PAA is present, it preferentially binds to site A, forcing the dienophile to approach on site B (Scheme 79).

Marko then used the same catalysts in an inverse electron demand Diels-Alder reaction between vinyl ethers or vinyl sulfides and 3-carbomethoxy-2-pyrone. The enantiomeric excess reached 85% with vinyl ethers and 95% with vinyl sulfides (Table 58).^{387,388}

Table 57. Diels-Alder Reaction Catalyzed by the Yb(OTf)₃/1/ **Additive System**

Scheme 79

Table 58. Diels-**Alder Reaction between Vinyl Ethers or Vinyl Sulfides and 3-Carbomethoxy-2-pyrone Using Kobayashi Catalyst**

Mikami reported the reaction of juglone with butadienyl acetate using a MS-free BINOL-Ti catalyst. The use of the MS-free catalyst was crucial since, with the classical one, the enantiomeric excess dropped to 9%. The obtention of the cycloadduct provided an efficient entry to the asymmetric synthesis of anthracycline and tetracycline antibiotics (Scheme 80).389

Posner applied the inverse electron demand approach to the synthesis of the A-ring of vitamin D derivatives (Scheme 81).390,391

As an extension of this methodology, Corey et al. have found that achiral 1,4-quinone monoketals function well as dienophiles in enantioselective Diels-Alder reactions cata-

lyzed by a chiral Ti(IV) Lewis acid. The results are excellent, as shown in Table 59.

Nevertheless, although the Mikami catalyst system is very useful in this connection, there is a need for the development of more clearly defined, more structurally homogeneous, and more efficacious catalysts.392

4.6. Hetero-Diels−**Alder Reaction**

4.6.1. Introduction

Although less studied than the all-carbon version of the reaction, the hetero-Diels-Alder reaction has attracted over the past decades more attention. It provides a versatile regioand stereoselective approach toward heterocyclic compounds from heterodienes³⁹³ or heterodienophiles.³⁹⁴ The use of Lewis acid catalysis in these reactions is overwhelmingly associated with heterodienophiles, with the most known example being the cyclocondensation developed by Danishefsky between activated dienes and aldehydes.^{395,396} The first successful use of a chiral Lewis acid is precisely due to Danishefsky, who obtained a very promising result with catalytic amounts of chiral shift reagent (Scheme 82).³⁹⁷

Scheme 82

As for BINOL, the first example of its use in such a reaction was reported by Nakai and Mikami in 1991. They prepared dihydropyran dicarboxylates with high enantiomeric excess from methoxy butadiene and methyl glyoxylate in the presence of a (R) -BINOL-TiCl₂ catalyst.²¹⁹ A few years later, Mikami showed that, as in the case of the all-carbon version of the reaction, the use of molecular sieve-free catalyst improves the endo- and enantioselectivity of the reaction between glyoxylates and methoxydienes (Table 60).366

Table 60. Hetero-Diels-**Alder Reaction Catalyzed by the TiCl2(O***i***-Pr)2/BINOL System**

OMe $\ddot{}$ н	CO ₂ Me	(R) -BINOL 1 : TiCl ₂ (Oi-Pr) ₂ 1 (10 mol%) MS 4Å or removed $CH2Cl2$, -30 °C	OMe $\ddot{}$ CO ₂ Me	OMe CO,Me
217	86		218	219
entry	$MS 4 \AA$	yield (%)	218 (ee)	219 (ee)
2	yes removed	77 78	78 (94) 88 (96)	$22 (=90)$ 12(>90)

Similarly, the use of $6,6'$ -Br₂-BINOL-Ti catalyst also enhanced the selectivities of the reaction.367

Attempts were also made with Danishefsky's diene and various aldehydes with catalysts prepared from BINOL and Ti(O*i*-Pr)4. Thus, Keck showed that enantiomeric excesses up to 97% could be obtained provided the catalyst was prepared using a 2:1 BINOL/Ti(O*i*-Pr)4 stoichiometry in the presence of 4 Å molecular sieves and 0.003 equiv of trifluoroacetic acid (TFA). TFA is necessary to induce the cyclization step, since the chiral Lewis acid only induces the formation of the Mukaiyama aldol product **220** (Table 61).248,398,399

Table 61. Hetero-Diels-**Alder Reaction Catalyzed by the Ti(O***i***-Pr)4/1/MS 4 Å System**

Mikami then showed that the addition of chiral activators, including BINOL itself, could enhance the level of enantioselectivity promoted by a 1:1 BINOL/Ti(O*i*-Pr)4 catalyst between Danishefsky's dienes and butylglyoxylate (Table 62).400

Table 62. Influence of the Additive in the Hetero-Diels-**Alder Reaction Catalyzed by the Ti(O***i***-Pr)4/1 System**

4.6.2. BINOL−B and −Al Complexes

To the best of our knowledge, boron Lewis acids have been widely dedicated to the aza-Diels-Alder reaction. Yamamoto reported in 1992 the preparation of chiral Lewis acids from (R) -BINOL and triarylborates, $B(OAr)_{3}$.⁴⁰¹ Enantiomeric excesses, up to 90%, were obtained for the aza-Diels-Alder cycloaddition between Danishefsky's dienes and aldimines (Table 63).402

Table 63. Aza-Diels-Alder Reaction Catalyzed by the B(OAr)₃/1 **System**

In further publications, the same authors also studied the double asymmetric induction (chiral Lewis acid and chiral aldimines) of the reaction. The approach was successful for both aliphatic and aromatic aldimines, and diastereomeric excesses up to 98% were reached (Table 64).^{403,404}

Finally, Yamamoto also showed that, provided a 2:1 mixture of BINOL and trialkylborate was used, a Bronsted acid-assisted chiral Lewis acid (BLA) **227** could be obtained (Scheme 83).405-⁴⁰⁸

This BLA was likewise efficient for the double asymmetric induction of the aza-Diels-Alder reaction of a chiral aldimine and Danishefsky's diene (up to 99% de). It is worth noting that, in all these aza-Diels-Alder reactions, the boron Lewis acid was used in stoichiometric quantities.

A BINOL-AlMe catalyst, obtained from (*S*)-BINOL and AlMe3, has been used by Jorgensen to promote preferentially the hetero-Diels-Alder cycloadduct (vs the ene adduct) in

Table 64. Aza-Diels-Alder Reaction Catalyzed by the B(OPh)₃/1 **System**

Scheme 83

Table 65. Hetero-Diels-**Alder Reaction Catalyzed by the AlMe3/BINOL System**

the reaction between conjugated dienes having allylic $C-H$ bonds, such as isoprene, and glyoxylate esters (Table 65).⁴⁰⁹

4.6.3. BINOL−Ln Complexes

A significant improvement in the asymmetric aza-Diels-Alder reaction was made with the introduction of chiral lanthanide Lewis acids. Kobayashi reported the first examples of such reactions promoted with substoichiometric quantities of Lewis acid.410 It must also be noted that the reaction involves an azadiene and not, as in all other cases reported so far, an azadienophile. The chiral catalyst is prepared from $Yb(OTf)_{3}$, (R)-BINOL, and DBU and is used in $10-20$ mol % in the presence of 1 equiv of an additive (Table 66).

Yb(OTf)₃, associated with (*R*)-BINOL and 2,6-lutidine, was also used in 10 mol % to promote the cycloaddition between Danishefsky's diene and aldimines. However, the best enantiomeric excess with this combination (41%) exceeded the ones obtained with Cu- and Mg-centered Lewis acids associated with chiral diamines.⁴¹¹

Mikami and Nakai applied their methodology to the synthesis of the lactone portion of mevinolin **233** (Scheme 84).219,412

Piperidine alkaloids $(-)$ -anabasine 234 and $(+)$ -coniine were synthesized by Yamamoto as an application of the aza-

Table 66. Aza-Diels-Alder Reaction Catalyzed by the Yb(OTf)₃/ **1/DBU System**

232

231

entry	\mathbf{R}_1	alkene	additive	vield (%)	cis/trans	ee (%)
	Ph	230	DTBP	52	94/6	77
2	α -naphthyl	230	DPP	65	99/1	91
3	α -naphthyl	230	DTBMP	74	>99/1	91
4	α -naphthyl	193	DTBMP	69	>99/1	68
5	cyclohexyl	193	DTRMP	58	>99/1	73

Scheme 84

mevinolin 233

Scheme 85

Scheme 85

Diels-Alder reaction promoted by the BINOL-B(OPh) catalyst (Scheme 85).²⁶⁷

4.7. Miscellaneous

4.7.1. [3+2] Cycloadditions and 1,3-Dipolar Cycloadditions

4.7.1.1. [3+**2] Cycloadditions.** Racemic BINOL, associated with aluminum, was first used in 1991 by Suga to catalyze the [3+2] cycloaddition between 2-aryl-5-meth-
oxyoxazoles and aldehydes.^{414,415} 2-Oxazoline-4-carboxylates, which are useful building blocks, were obtained with high *cis*-selectivity. Only recently did the same authors report results obtained with a chiral catalyst which was prepared in situ from (*R*)- or (*S*)-BINOL and Me₃Al in hexane: four different oxazoles were involved with various substituted benzaldehydes. The resulting *cis*-2-oxazoline-4-carboxylates were obtained with up to 90% ee.^{416,417} It is, however, worth noting that the catalyst is used in excess (2 equiv) in most examples (Table 67).

Table 67. [3+**2] Cycloaddition between 2-Aryl-5-methoxyoxazoles and Aldehydes Catalyzed by the AlMe3/1 System**

4.7.1.2. 1,3-Dipolar Cycloadditions. Among this class of reactions, the 1,3-dipolar cycloaddition between nitrones and alkenes leading to isoxazolidine derivatives is of particular interest. Indeed, these molecules can be converted to 1,3 amino alcohol equivalents under mild conditions. The first attempt to prepare optically active isoxazolidines by means of a chiral catalyst is due to Jorgensen in 1994, who studied the reaction between nitrones and 3-crotyloxazolidinone in the presence of catalytic amounts of various chiral titanium catalysts generated in situ from $Ti(Oi-Pr)_2Cl_2$ and chiral diols.418,419 Up to 62% ee was obtained with TADDOL, but results with BINOL were disappointing (8% ee). More recently, the same authors studied the formation of isoxazolidines through an inverse electron demand 1,3-dipolar cycloaddition between aromatic nitrones and vinyl ethers under catalysis of different BINOLs-AlMe complexes. Although the ee remained very low (5%) with parent BINOL, up to 97% was obtained with 3,3′-bisphenyl-BINOL (Table 68).⁴²⁰

Table 68. 1,3-Dipolar Cycloaddition between Nitrones and Alkenes Catalyzed by the AlMe3/1 System

^a Conversion.

Isoxazolidines can also be prepared diastereo- and enantioselectively in the presence of chiral lanthanide catalysts. Indeed, Kobayashi, as part of his exploration of the potentialities of lanthanide triflates as Lewis acids, discovered a heterochiral catalyst that combines the chirality of BINOL and of an amine, (*R*)-methyl-bis[1-(1-naphthyl)ethyl]amine ((*R*)-MNEA) **235**, and leads to an excellent *endo*/*exo* ratio and up to 96% ee (Table 69).^{422,423}

Table 69. Preparation of Isoxazolidines Using Yb(OTf)3/1/MNEA Catalyst

Later, the same authors reported that molecular sieves 4 Å (MS 4 Å) were essential to secure high enantioselectivity.424 Suprisingly, the absence of MS led to an inversion of enantioselectivity, which was not the case for the Diels-Alder reaction. In the absence of MS, additives such as NMO, pyridine oxide, or even the starting nitrone **236** helped to reach ee's of around 65-83% (Table 70).

Table 70. Influence of the Additives in the Preparation of Isoxazolidines Using Yb(OTf)3/1/MNEA Catalyst

In 2006, Maruoka et al. developed an enantioselective 1,3 dipolar cycloaddition reaction between diazoacetates and α -substituted acroleins which gives 2-pyrazolines with an asymmetric tetrasubstituted carbon center. This methodology was successfully applied to the short synthesis of manzacidin A (Table 71).⁴²⁵

As an extension of this reaction, the same authors have investigated an asymmetric 1,3-dipolar cycloaddition reaction between various nitrones and acrolein catalyzed by the μ -oxo-type chiral bis-Ti(IV) oxide (*S*,*S*)-1 which gave rise to the corresponding isoxazolidines with high to excellent enantioselectivities (Table 72).⁴²⁶

4.7.2. Addition of Dialkylzinc and Trialkylaluminum to Aldehydes and Ketones

Nakai⁴²⁷ and Chan⁴²⁸ independently reported in 1997 the asymmetric alkylation of aldehydes with diethylzinc catalyzed by BINOL-Ti complexes (obtained from (*S*)-BINOL and Ti(O*i*-Pr)4). Nakai used a large excess of Ti(O*i*-Pr)4 (vs BINOL) and 3 equiv of $Et₂Zn$; thus, the typical proportions were RCHO/Et₂Zn/BINOL/Ti(O*i*-Pr)₄ = 1:3:0.2:1.2 (Table 5) (Table 73). It is noteworthy that structures of binolate titanium complexes as well as mechanistic studies of the asymmetric addition of alkyl groups to aldehydes have been reported by Walsh et al.⁴²⁹⁻⁴³¹

Table 73. Addition of Diethylzinc to Aldehydes Catalyzed by the Ti(O*i***-Pr)4/1 System**

RCHO +	(S)-BINOL (20 mol%) $Ti(Oi-Pr)$ (1.2 equiv.) Et ₂ Zn toluene		OН H_3O^*	
entry	R	Т $(^{\circ}C)$	yield $(\%)$	ee (%)
	$n-C_8H_{17}$	-30	94	86
2	cyclohexyl	-30	75	85
3	(E) -Ph-CH=CH	0	97	82
	$TBS-C=C$ -	0	98	79

Chan studied the influence of the BINOL/Ti $(O_i-P_r)_4$ ratio and of the temperature on the enantioselectivity of the addition of Et₂Zn on aromatic aldehydes. The conclusions were in agreement with those reported by Nakai (Table 74).

Table 74. Addition of Diethylzinc to Various Aldehydes Catalyzed by the Ti(O*i***-Pr)4/1 System**

		(S)-BINOL 1 (20 mol%) $Ti(Oi-Pr)$, $(1.4$ equiv.)	H_3O^*	OH
ArCHO	+ Et ₂ Zn $(3$ equiv.)	CH ₂ Cl ₂		Ar
		T	conv	ee
entry	Ar	$(^{\circ}C)$	(%)	$(\%)$
	Ph	-78	64	96
2	Ph	-20	87	93
3	Ph		100	92
4	m -MeOPh		100	94
5	p -FP h		100	86
6	p -MePh		99	88

These authors then established that all ee's were increased if the BINOL-Ti complex was substituted by a H_8 -BINOL-Ti catalyst.432 The same observation was also made during the study of the asymmetric alkylation of aromatic aldehydes with triethylaluminum under titanium catalysis (Table 75).⁴³³

Table 75. Addition of AlEt₃ to Various Aldehydes Catalyzed by **the Ti(O***i***-Pr)4/1 System**

ArCHO +	AIEt.	(S)-BINOL 1 (20 mol%) Ti(Oi-Pr)4 (1.4 equiv)		он H_3O^*
	$(3$ equiv.)	THF		
		yield	ee $(\%)$ (R) with	ee $(\%)$ (S) with
entry	Ar	$(\%)$	(S) -BINOL	(S) -H ₈ -BINOL
	Ph	100	81	96
2	o -FPh	82	52	91
3	o -ClPh	83	62	91
4	p -FPh	90	78	94
5	p -ClPh	93	81	90

Such a methodology has been recently applied as the key step in the enantioselective synthesis of japonilure, a sex pheromone of females of the japanese beetle, *popillia japonica* (Scheme 86).⁴³⁴

Scheme 86

Finally, the enantioselective addition, under Ti catalysis, of dimethylzinc and diethylzinc to prostereogenic ketones has been studied by Yus.⁴³⁵ The best results were obtained with camphorsulfonamide titanium alkoxide derivatives (up to 89% ee). On the contrary, BINOL complexes led to poor yields and modest ee (35%).

4.7.3. Friedel−Crafts Reaction

Although the Friedel-Crafts reaction is both one of the oldest Lewis acid-catalyzed reactions and one of the most important carbon-carbon bond-forming reactions in organic synthesis, its application to catalytic asymmetric synthesis has been quite limited. With respect to BINOL, reports are even more recent. Thus, Mikami showed in 1999 that such a reaction was occurring, under Mukaiyama reaction conditions, between fluoral and silyl enol ethers under BINOL-Ti complex catalysis.⁴³⁶ The Friedel-Crafts product, which was obtained in good yield and high ee (up to 98%) along with the classical aldol adduct, could be further transformed into the latter. This observation led Mikami to propose a Friedel-Crafts mechanism for the Mukaiyama aldol reaction with fluoral (Table 76).

Table 76. Friedel-**Crafts Reaction Catalyzed by the TiCl2(O***i***-Pr)2/1 System**

A few years later, the same group extended the reaction of fluoral to aromatic substrates.⁴³⁷ The sense of asymmetric induction was identical to the one observed in BINOL-Ticatalyzed carbonyl-ene or Mukaiyama reactions. Although the ee's were not improved by using a H_8 -BINOL-Ti complex, they could be enhanced with a $6.6'$ -Br₂-BINOL-Ti complex (Table 77).

Table 77. Reaction of Fluoral with Aromatic Substrates Catalyzed by the TiCl₂(O*i***-Pr**)₂/1 System

			(R) -BINOLs: TiCl ₂ (Oi-Pr) ₂	MeC	OН CF ₃ 241
MeO 240	$\ddot{}$ CF, н 91		MS 4Å, CH ₂ Cl ₂ , 0 °C	CF ₃ HO MeO	÷ 242
entry	ligand	cat. (%)	yield (%)	241/242	241 ee (%)
1 $\overline{2}$ 3	(R) -BINOL (R) -H ₈ -BINOL (R) -6,6 $'$ -Br ₂ -BINOL	30 5 5	82 11 94	4/1 4/1 4/1	73 22 84

4.7.4. Addition of Trimethylsilyl Cyanide to Carbonyl Compounds and Imines (Strecker Reaction)

Nakai studied the addition of trimethylsilyl cyanide to aldehydes and imines under BINOL-Ti(Oi-Pr)₂ catalysis.438,439 Good results were obtained with aliphatic aldehydes (up to 75% ee) when the reaction was carried in CH_2Cl_2 at 0 °C while ee's were disappointingly low with aromatic aldehydes $(510%)$ and an aromatic imine $(30%)$ (Table 78).

¹H NMR experiments led the authors to propose that the active catalyst in this reaction is a $BINOL-Ti(CN)_2$ complex.

Table 78. Enantioselective Addition of Trimethylsilyl Cyanide to Aldehydes Catalyzed by the Ti(O*i***-Pr)4/1 System**

	(R) -BINOL 1 : Ti $(O$ <i>i</i> -Pr) 1:1 RCHO + TMSCN CH_2Cl_2 , 0 °C	H_3O^+ (20 mol\%)	ŌΗ CN R
entry	R	yield $(\%)$	ee $(\%)$
	$n-C_8H_{17}$	92	72
$\overline{2}$	tert-Bu	> 90	75
3	Et	>90	≤ 10
$\overline{4}$	Ph	> 90	≤ 10
5	p -MeOPh	> 90	${}_{\leq 10}$

In 2000, Vallée reported the condensation of TMSCN on ketimines under TADDOL- and BINOL-Ti complexes catalysis in the presence of various activators (diols, ethers, amines).¹⁴ The best result was obtained with $BINOL-Ti (Oi-Pr)_2$ as catalyst (10 mol %) and TMEDA as activator (20 mol %) (Table 79).

Table 79. Enantioselective Addition of Trimethylsilyl Cyanide to Imines Catalyzed by the TiCl₂(O*i***-Pr**)₂/1 System

Bn. $\ddot{}$	TMSCN	(R) -BINOL 1 : TiCl ₂ (Oi-Pr) ₂ (10 mol\%)		Bn_{-} CN
Ph	(2 equiv.)	additive (0.2 equiv)		\star Ph
243		CH ₂ Cl ₂		244
			conv	ee
entry		additive	(%)	(%)
		(R) -BINOL	80	33
2	Et ₂ O		85	37
3	TMEDA		80	56
4	Et ₃ N		66	50

The same group improved this system by preparing a new chiral heterobimetallic complex, $Sc(BINOL)_2Li$, affording the expected cyanohydrins in good yields and high ee's (Table 80).440

Table 80. Enantioselective Addition of TMSCN or HCN to Imines Using Sc(BINOL)2Li Catalyst

Very recently, an enantioselective Strecker-type reaction of imines with $Et₂AICN$ in the presence of chiral additives such as BINOL has been examined by Toru et al. The enantioselectivity varied depending on the substituents of the imino group as well as the chiral additives used. Thus, α -aminonitriles were obtained in good yields with good enantioselectivities of up to 70% ee in the reaction of *N*-benzylidenebenzhydrylamine with $Et₂AICN$ and BINOL (Scheme 87).⁴⁴¹

Scheme 87

Et₂AICN (1.5 equiv.) BINOL (1.2 equiv.) Toluene, rt Yields varying from 30 to 96% ee varying from 0 to 70%

Kagan and Holmes have investigated the addition of TMSCN to aldehydes catalyzed by lithium salts of chiral phenols. Taking advantage of the propensity of silicon to form strongly Lewis acidic complexes with valences of 5 and 6, templated asymmetric additions have been performed. This process is of note, as it removes the need for a transition metal, by using the metalloid silicon, inherent in the reaction, instead. (S) - $(-)$ -BINOL 1 has been identified as a precursor to active enantioselective catalysts which, after optimization of the reaction conditions, were used to convert a range of aldehydes to their corresponding cyanohydrins with ee's of up to 56% (Table 81). 442

Table 81. Enantioselective Addition of TMSCN to Aldehydes Using Hypervalent Silicon Species

	TMSCN RCHO +	1 mol% (S)-BINOL 1		OTMS
		Et ₂ O, -78°C		CN
			yield	ee
entry		aldehyde	(%)	(%)
	benzaldehyde		96	56 (S)
2	p -anisaldehyde		95	54 (S)
3	cyclohexanecarbaldehyde		94	30(S)
4	pivaldehyde		62	26(S)

While this procedure is interesting from a mechanistic point of view, the highly exothermic nature of the reaction and the poor substrate tolerance render it currently only of academic interest. Also, it is unclear whether hypervalent silicon intermediates are responsible for the observed reactions or whether lithium is acting as a Lewis acid.

As an extension of this work, Ishihara et al. developed a highly enantioselective cyanation of aromatic aldehydes using a simple and inexpensive chiral lithium binaphtholate alcohol complex. The chemical yields and the enantiomeric excesses are excellent, whatever the considered aldehydes (Table 82).443

Table 82. Cyanation of Aldehydes Catalyzed by (*R***)-BINOL 1/***i***-PrOH**

		(R)-BINOL 1 / LiOi-Pr (1 mol% each)		OTMS	
$\ddot{}$ н	TMSCN		toluene, -78°C, 1h	CN	
entry		R	yield (%)	ee (%)	
	Ph		99	97	
2	1-naphthyl		95	81	
3	3 -furyl		96	98	
4	$4-BrC_6H_4$		98	93	
5	4 -ClC ₆ H ₄		98	92	

Recently, a new approach to enantioselective cyanation of imines with $Et₂AICN$ in the presence of various chiral additives has been examined. The enantioselectivity varied depending on the substituents of the imino group as well as the chiral additives used. Thus, α -aminotriles were obtained in good yields with good enantioselectivities of up to 70%

Table 83. Enantioselective Cyanation of Imines with Et₂AlCN in **the Presence of BINOL 1 as Chiral Additive**

	R A۱ н	Et ₂ AICN / 1 1.5 equiv. Toluene, -78°C	NHR ∕ cn Ar'	
entry	Ar	R	yield (%)	ee $(\%)$
	Ph	Ph	96	61
2	Ph	Flu	93	22
3	Ph	Ph_2CH	98	70
4	1-Naph	Ph ₂ CH	99	70
5	p -ClC ₆ H ₄	Ph ₂ CH	99	64

ee in the reaction of *N*-benzylidenebenzhydrylamine with Et₂-AlCN and BINOL 1 (Table 83).⁴⁴¹

In 2005, Shibaski et al. developed a catalytic enantioselective cyanoethoxycarbonylation reaction of aldehydes with ethyl cyanoformate using a heterobimetallic $Y-Li₃-tris-$ (binaphthoxide) **245**. Under optimized conditions, achiral additives, H_2O , tris(2,6-dimethoxyphenyl)phosphine oxide, and BuLi had key roles in achieving high reactivity and enantioselectivity (up to 99% yield and up to 98% ee) (Table 84).444

Table 84. Catalytic Asymmetric Cyanoethoxycarbonylation Reaction of Various Aldehydes

The same authors have extended this reaction to the catalytic asymmetric cyanophosphorylation of aldehydes in good yields (up to 98%) and enantiomeric excesses (up to 97% ee) (Table 85).⁴⁴⁵

4.7.5. Baylis−Hillman Reaction

The Baylis-Hillman reaction (reaction between an unsaturated ester and an aldehyde catalyzed by DABCO, for example) is useful in organic synthesis but suffers from low reaction rates. Aggarwal investigated the Lewis acid catalysis of the reaction and found that the use of lanthanide triflates (5 mol %) induced a significant rate acceleration (4.5-5 fold).208,446,447 Noteworthy is the fact that more classical Lewis acids, such as BF_3-OEt_2 or TiCl₄, induced a decceleration of the reaction, presumably because of the formation of a too stable amine-Lewis acid complex. Although this problem is less serious with lanthanide Lewis acids, the authors also established that the addition of ligands would increase the rate of the reaction. Among these ligands, $(+)$ -

Table 85. Catalytic Asymmetric Cyanophosphorylation of Various Aldehydes

Table 86. Baylis-**Hillman Reaction Catalyzed by Different Lewis Acid/1 Systems**

BINOL (5 mol %) gave one of the largest rate accelerations observed but no significant asymmetric induction (however, the authors observed that racemic BINOL had no influence on the rate of the reaction) (Table 86).

Finally, Sasai et al. have reported a new double-activation catalysis for the Morita-Baylis-Hillman reaction (MBH) of an α , β unsaturated ketone and an aldehyde by the combined use of a heterobimetallic asymmetric complex and tributylphosphine to afford the α -methylene- β -hydroxy ketone with up to 99% ee (Table 87). 448

Table 87. Enantioselective MBH Reaction Promoted by Boron-**Lithium-Mono(binaphthoxide) in the Presence of** $(n-Bu)_{3}P$

		ĴВ. 248		(16 mol%) OН
RCHO ÷		10 mol% $P(n-Bu)_{3}$ THF		R
entry	R	\boldsymbol{n}	yield (%)	ee (%)
1	PhCH ₂ CH ₂	1	70	64
\overline{c}	$PhCH_2CH_2$	2	49	58
$\overline{3}$	Et	$\overline{2}$	23	85
$\overline{\mathcal{A}}$	$i-Pr$	$\overline{2}$	94	99
5	Ph		93	19
6	Ph	2	32	15
7	C_6H_{11}		88	93
8	C_6H_{11}	2	71	63

4.7.6. Radical Addition and Cyclization

Over the past decade, remarkable progress has been made on the stereoselectivities of radical carbon-carbon bond formation, and Lewis acids have played an important role via the formation of chelates that induce facial differentiation.⁴⁴⁹⁻⁴⁵² Examples in which the Lewis acid also has a radical acceptor activation role are more rare. The first paper on the topic is due to Sato, who studied the Lewis acid-enhanced reactivity of α , β -unsaturated esters and amides toward radical addition.453 In the same paper, the authors also reported the first example of asymmetric radical addition controlled by a chiral BINOL-Al Lewis acid (Scheme 88).

Scheme 88

Nishida then studied the influence of chiral Lewis acids, prepared from various BINOLs and trimethylaluminum, on a 5-*exo*-*trig* radical cyclization. Enantiomeric excesses were poor with (R) -BINOL-AlMe $(2%)$ and modest with $3,3'$ bis(triphenylsilyl)-BINOL-AlMe (36-18%) but provided the latter was used in excess $(4 \text{ equiv}).^{454}$

4.7.7. Catalytic Asymmetric Michael Addition

In 1998, Shibasaki et al. described the use of a heterobimetallic asymmetric complex as catalyst in a Michael addition reaction. Thus, Al-bis(binaphthoxide) complex (ALB) was the most effective catalyst in the presence of 1 equiv of base, such as BuLi or KO-*t*-Bu, which accelerates the reaction rate (Scheme 89).⁴⁵⁵⁻⁴⁵⁷

Scheme 89

Immobilization of this multifunctional catalyst on an insoluble polymer led to similar results in terms of enantioselectivity (88% ee instead of 99% ee in the homogeneous case). Nevertheless, in this case, easy separation, reusability, stability, and less toxicity of immobilized species may be noticed.458 It is noteworthy that this reaction has also been performed using microwaves, with comparable enantioselectivities in a remarkably shorter reaction time.⁴⁵⁹

Recently, a new calcium-BINOL catalyst has been developed for asymmetric Michael addition reactions of enones and enals in good yields but with moderate enantioselectivities of up to 87% ee (Scheme 90).⁴⁶⁰

In the same area, enantioselective Michael additions of α -nitroesters with α , β -unsaturated ketones were carried out

Enantiomeric excesses varying from 0 to 88%

in the presence of a catalytic amount of (*R*)-ALB **251**. The enantioselectivity proved to be extremely temperature dependent with a maximum of enantiomeric excess obtained at -23 °C. Numerous examples have been investigated with enantiomeric excesses varying from 5 to 80% ee depending on the nature of the considered substrates (Table 88).^{461,462}

Table 88. Enantioselective Michael Additions of α -Nitroesters **with α, β-Unsaturated Ketones**

In 2005, Shibasaki et al. demonstrated that the rare earthalkali metal heterobimetallic complex **245** functions as a Lewis acid-Lewis acid cooperative catalyst promoting catalytic asymmetric 1,4-addition of methoxylamine to enones in excellent yields and enantiomeric excesses of up to 96% ee (Table 89).463

Table 89. Catalytic Asymmetric Aza-Michael Reaction of Various Enones

		$(S, S, S) - 245$ (3 mol%) Drierite		OMe
R_{2} R	MeONH ₂	THF, -20°C	R	R
entry	\mathbf{R}_1	R_2	yield (%)	ee (%)
	Ph	Ph	97	95
2	Ph	2-thienyl	96	95
3	Ph	2 -furyl	80	92
4	Ph	$i-Pr$	97	86
5	Ph	cyclohexyl	98	82
6	Ph	4-pyridyl	91	85

4.7.8. Catalytic Asymmetric Mannich-Type Reaction

Using the same heterobimetallic chiral complex (ALB), the first direct catalytic asymmetric Mannich-type reaction has been achieved by Shibasaki et al., leading to moderate enantiomeric excesses up to 44% ee (Table 90).^{464,465}

4.7.9. Asymmetric Addition of Alkyl Groups to Aldehydes

In 1993, Greeves et al. reported the use of homochiral organolanthanide ($Ln = Ce$, Yb) reagents in enantioselective

Table 90. Direct Catalytic Asymmetric Mannich-Type Reaction

Ar	(R)-ALB 251 (30 mol%) R $La(OTf)_{3}.nH_{2}O(30 mol%)$			
CH ₂ O	Toluene, 50°C 18 h, MS 3A $N(C_2H_5)_2$		Ar R	$N(C_2H_5)_2$
			yield	ee
entry	Ar	R_1	(%)	(%)
	Ph	Me	65	40
2	Ph	Et	69	34
3	4-anisyl	Me	76	31
4	2-naphthyl	Me	61	44
5	$6-MeO-2$ -naphthyl	Me	69	44

additions of alkyl groups to aldehydes to produce secondary alcohols in moderate to high enantiomeric excesses. The reagents were prepared by reaction of (R) -1 with the desired trialkyllanthanide species that was generated from 2.8 equiv of alkyllithium and 1 equiv of anhydrous lanthanide(III) chloride or triflate. This stoichiometry was essential to ensure that no unreacted organolithium precursor, which would be detrimental to the enantiomeric excess, was present (Table 91).466-⁴⁷⁰

Table 91. Generation of Reagents and Their Reaction with Aromatic Aldehydes

LnX_3 . Solvent 1 equiv.	1. Ultrasound 2. R ₁ Li 3. (R) -1 $(1$ equiv.)		R_2 LnR. 253	CHO R LiX	OН R.
				yield	ee
entry	LnX_3	R_1	\mathbf{R}_{2}	(%)	(%)
	$Yb(OTf)_{3}$	n -Bu	H	87	33
\overline{c}	CeCl ₃	$n - Bu$	4-OMe	87	52
3	CeCl ₃	Me	4-OMe	75	68
$\overline{4}$	CeCl ₃	Me	$4-C1$	53	75
5	CeCl ₃	$n - Bu$	4-Me	71	85
6	Ce(OTf)	Me	4-Me	62	57
7	$Yb(OTf)_3$	Me	4-Me	56	65

4.7.10. Synthesis of α -Hydroxy- and Aminophosphonates

LaLi3tris(binaphthoxide) catalyst (LLB), which is prepared from LaCl3'7H2O, (*R*)-BINOL **¹** dilithium salt, and NaO-*t*-Bu, is effective for the hydrophosphonylation of various aldehydes.⁴⁷¹⁻⁴⁷³ Thus, despite all the different catalysts tested, the desired α -hydroxyphosphonates are obtained in up to 95% ee and 88% yield using the Al-Li-BINOL complex (Table 92). $474 - 476$

On the basis of numerous experiments, a mechanism involving the activation of both the nucleophile and the electrophile by the heterobimetallic catalyst was proposed (Scheme 91).477-⁴⁷⁹

As an extension of this work, Shibasaki et al. have described the catalytic and enantioselective hydrophosphonylation of imines using YbPB catalyst. In this area, excellent results have been obtained on cyclic imines with up to 96% ee and up to 90% yield (Scheme 92).480

4.7.11. Enantioselective Cyclization of Polyprenoids

A selective formation of polycyclic terpenoids has been described using $SnCl₄ - BINOL$. Thus, the cyclization oc-

Table 92. Asymmetric Synthesis of α -Hydroxy- and **Aminophosphonates**

Scheme 91

Scheme 92

Scheme 93

curred in CH_2Cl_2 at -78 °C and afforded the *trans*-fused tricyclic compound in 67% yield and 36% ee (Scheme 93).481,482

4.7.12. Asymmetric Synthesis of 1-Alkoxy-2,2,2-trifluoroethanol Derivatives

Reaction of trifluoroacetaldehyde with an alcohol in the presence of a catalytic amount of (*R*)-BINOL-Ti(O*i*-Pr)2 gives 1-alkoxy-2,2,2-trifluoroethanol with enantiomeric excesses varying from 65 to 91% ee depending on the nature of the substrate (Scheme 94).483

Scheme 94

4.7.13. Asymmetric Synthesis of Substituted Chiral Benzhydrols

On the other hand, a series of substituted chiral benzhydrols were synthesized by reaction of aromatic aldehydes with the chiral intermediates formed from arylmagnesium halides and chiral titanates generated from (*R*)-BINOL **1**. The effect of substituents on the enantioselectivity of the reaction has been studied, and enantiomeric excesses varying from 24 to 100% ee have been encountered (Table 93).⁴⁸⁴

Table 93. Asymmetric Synthesis of Substituted Chiral Benzhydrols

4.7.14. Hydrodimerization of *â*-Monosubstituted Acrylic Acid Amides

The chiral samarium(II) complex prepared from SmI₂, (R)-BINOL **1**, and an achiral tertiary amine promoted the reductive homocoupling reaction of *â*-monosubstituted acrylic acid amides **258a**-**^g** to give the corresponding 3,4-*trans*disubstituted adipamides **259a**-**^g** with high enantioselectivities (up to 85% ee) (Table 94). $485,486$

4.7.15. Catalytic Enantioselective meso-Epoxide Ring Opening Reaction

In 1998, Hou et al. reported a simple route to chiral *â*-amino alcohols by ring opening of *meso*-epoxides catalyzed by Yb(OTf)3 and (*R*)-BINOL **1**. The reaction proceeds smoothly at -78 °C, affording the desired amino alcohols in good to high chemical yields and ee up to 80% (Scheme 95).487,488

In 2006, Kureshy et al. reported that the catalytic enantioselective ring opening reaction of *meso*-stilbene oxide with anilines was catalyzed by BINOL-Ti complexes at ambient temperature to obtain *â*-amino alcohols in good yields (of up to 95%) and enantioselectivity (78% ee) (Table 95).489

Table 94. Hydrodimerization of *â***-Monosubstituted Acrylic Acid Amides**

R.	R		Sml ₂ (8 equiv.), (R)-1 (16 equiv.)	R.	CONR' ₂ R.	R'
	R'		TMEDA (32 equiv.), THF -78° C. 4 h	R	CONR'	R Ω
258a-c				259a-c		260a-g
	substrate			product/yield (%)	$259a-g$	
entry	R	R'	$259a-g$	$260a-g$	dl/meso	ee (%)
1	Me	Bn	70	20	dl only	$71(+)$
\overline{c}	Et	Bn	45	42	dl only	$82 (+)$
3	$n-Pr$	Bn	35	46	dl only	$82 (+)$
$\overline{4}$	BnCH ₂	Bn	20	52	dl only	$85 (+)$
5	$i-Pr$	Bn		95		
6	t -Bu	Bn		99		
7	Me	Ph	55	44	63:37	44 (-)

Scheme 95

F \overline{r}

	ArNH ₂		
	PhMe, MS 4Å, -78°C	HO	NHAr
	$Yb(OTf)_{3}$, (R) -1		
	10 mol %		
$R = Me$, Ph, -(CH ₂) ₃ -			64-98% vield
vr = Ph, <i>p</i> -BrC _e H ₄ , o-EtC _e H ₄			up to 80% ee

Table 95. Asymmetric Catalytic Ring Opening of *meso***-Stilbene Oxide with Anilines Using Ti(O***i***-Pr)4/(***S***)-1 Catalyst**

As an extension of such a methodology, this reaction has been successfully performed under microwave irradiation in high yields (up to 95%) and good enantioselectivities (ee up to 55%).490

Moreover, Collin et al. found recently that samarium iodobinaphthoxide catalyzes enantioselective aminolysis of cyclic *meso*-epoxides, producing *â*-amino alcohols with high enantiomeric excesses of up to 93% ee (Scheme 96).⁴⁹¹

Scheme 96

On the other hand, Shibasaki et al. recently described the first catalytic enantioselective *meso*-epoxide ring opening reaction with phenolic oxygen nucleophile promoted by praesidium492 or gallium heterobimetallic multifunctional complexes such as (*R*)-GaLB **262** (Ga = gallium, L = lithium $B = (R)$ -RINOL) 458,493 Thus using $10-20$ mol % lithium, $B = (R)$ -BINOL).^{458,493} Thus, using $10-20$ mol %
of (R) -Gal B catalyst a variety of enoxides were smoothly of (*R*)-GaLB catalyst, a variety of epoxides were smoothly cleaved with phenolic oxygen nucleophiles and the expected

products were obtained in enantiomeric excesses varying from 67 to 93% ee and with modest to good yields $(31 -$ 75%) (Scheme 97).

On the basis of mechanistic studies of other asymmetric reactions catalyzed by various heterobimetallic complexes, the authors have envisioned that group 13 element Ga could act as a Lewis acid in a similar manner as Al to activate epoxides and lithium binaphthoxide could function as a Bronsted base to activate 4-methoxyphenol (Scheme 98).

Scheme 98

4.7.16. Kinetic Resolution

In 1988, Yamamoto et al. reported for the first time the use of chiral organoaluminum reagent **264** as a catalyst to resolve simple ketoepoxides. Thus, the optically pure ketoepoxide **263** was recovered after 80% conversion and was found to be a useful chiral building block for a short asymmetric synthesis of the juvenile hormone **265** in 31% overall yield (Scheme 99).^{494,495}

Scheme 99

In 2006, Berkessel et al. demonstrated that chemoenzymatic dynamic kinetic resolution of secondary alcohols is possible in high yield and high enantioselectivity through the use of inexpensive and readily available aluminum catalysts generated in situ in combination with a lipase (Scheme 100).496

Scheme 100

Recently, the kinetic resolution of oxiranes by use of chiral Lewis acids has been described proceeding with moderate enantioselectivities up to 39% in the insertion of $CO₂$ (Table 96).497,498

Table 96. Kinetic Resolution of Oxiranes Using Various Lewis Acid/1 Catalysts

Ŗ	CO, ÷	cat* (1 mol\%) CH ₂ Cl ₂ , rt, 24h, 5 bars				
entry	R	catalyst	sel $(\%)$	conv (%)	ee (%)	S
1 2 3 4 5	CH ₂ Cl CH ₂ Cl CH ₂ Cl Ph Ph	$Ti(Oi-Pr)_{4}/BINOL$ $CpTiCl3/Li2Binolate$ $Zr(Ot-Bu)_{4}/BINOL$ $Ti(Oi-Pr)_{4}/BINOL$ $CpZrCl3/Li2Binolate$	98 100 100 83 97	48 22 20 41 66	17 9 10 24 27	1.7 2.3 2.5 2.6 1.5

Kinetic resolution of racemic enol ester epoxides catalyzed by (R) -1/Ti $(Oi$ -Pr $)$ ₄ has been achieved by Shi et al.^{499–501} High resolution efficiency was obtained for a number of cyclic systems. Both enantiomerically enriched enol ester epoxides and α -acyloxy ketones were obtained through this resolution. Thus, a racemic enol ester epoxide can be completely converted into an enantiomerically enriched α -acyloxy ketone by sequential treatment with a catalytic amount of a chiral Lewis acid and a catalytic amount of an achiral acid (Scheme 101).

Scheme 101

Numerous examples have been reported with enantioselectivities varying from 38 to 93% ee and excellent chemical yields. As an extension of such a study, Tu et al. have investigated a new method for the enantioselective preparation of *â*-hydroxy ketones containg a stereogenic quaternary carbon center and tertiary α -hydroxy epoxides. Although the maximum possible yield is $30-40%$, the method uses easily accessible racemic starting materials, and conversion levels can be manipulated so that completely enantiopure samples of substrate enantiomers are obtained with enantiomeric excesses of up to 60%.502

The kinetic resolution of racemic 5-methylbicyclo[3.3.0] oct-1-ene-3,3-dione catalyzed by Al-Li-bis(*R*)-BINOL with Michael addition of 4-*tert*-butyl(thiophenol) has been developed, leading to the two enantiomers (*R*)-**269** and (*S*)- **269** in enantiomeric excesses up to 79% ee (Scheme 102).503,504

Scheme 102

Recently, Hoveyda et al. reported that various mediumring heterocycles, bearing a C2-substituent that contains an accessible Lewis basic heteroatom, may react with Grignard reagents with high levels of regio- and stereochemical control. The key substrates were prepared enantiomerically pure through the Zr-catalyzed kinetic resolution (Scheme 103).⁵⁰⁵

Scheme 103

4.7.17. BINOL as ^a Chiral Resolving Agent

An efficient and practical resolution of racemic alkaloid 1-benzylisoanabasine has been achieved through molecular complexation with (*R*)-BINOL **1** or (*S*)-BINOL **1** to afford pure enantiomers of the natural alkaloid isoanabasine (Scheme 104).506

Scheme 104

4.7.18. Copper-Catalyzed Amination of Aryl Halides with Amines

Recently, Wan et al. reported a highly effective coppercatalyzed system using *rac*-BINOL as the ligand for amination of aryl halides with alkylamines and N-H heterocycles in high chemical yields varying from 62 to 98% depending on the nature of the starting materials (Table 97).507

4.7.19. Synthesis of Silane Derivatives and Use of Chiral Proton Donor Reagents

Recently, Asztemborska et al. have performed the resolution of chiral silanes from the corresponding racemic silyl chlorides via diastereomeric derivatives **273** (Scheme 105).508

Scheme 105

Enantioselective protonation of prochiral enol derivatives is a very simple and attractive route for the preparation of optically active carbonyl compounds.509 In 1994, Yamamoto et al. reported a new Lewis acid assisted chiral Bronsted acid for enantioselective protonation of prochiral silyl enol ethers and ketene bis(trialkylsilyl)acetals with high enantioselectivities and in quantitative yield (Scheme 106).^{510,511}

Scheme 106

In the same area, an asymmetric protonation of a *meso*-1,2-enediol bis(trimethylsilyl)ether using a (*S*)-BINOL monomethyl ether $274 - SnCl₄$ complex has been described by Ogasawara et al. in order to realize an alternative route to chiral $(-)$ -ketodicyclopentadiene and $(-)$ -ketotricyclononene (Scheme 107).512

4.7.20. Titanium-Catalyzed Intermolecular Hydroamination of Terminal Alkynes

In 2005, Beller et al. investigated the control of regioselectivity by using $Ti(NEt₂)₄$ catalyst with different ligands in the hydroamination of unsymmetrical alkynes. Thus, the use of *rac*-BINOL as ligand led preferentially to the

Table 98. Hydroamination of 1-Octyne with Different Amines

formation of the anti-Markovnikov product depending on the nature of the considered amines (Table 98).⁵¹³

4.7.21. Use of BINOL as Chiral Auxiliary

(*R*)-BINOL **1** has been used as chiral leaving group for the asymmetric synthesis of monocyclic terpenes such as limonene. Whatever the experimental conditions, low yields (29% yield) and moderate enantiomeric excesses up to 64% ee were reached (Scheme 108).¹⁸³⁻¹⁸⁶

A similar approach has been developed by Lee et al. for the asymmetric synthesis of $(-)$ -drimenol (Scheme 109). In

Scheme 108

this case, use of (R) -BINOL 1 in the acid-catalyzed cyclization of monocyclofarnesate resulted in low chiral induction $(20\% \text{ee})$.⁵¹⁴

In another context, the preparation of a variety of enantiomerically pure uncommon α -amino acids by alkylation of chiral glycine derivatives possessing axially chiral BINOL as an auxiliary has been depicted by Fuji et al. Thus, depending on the nature of the electrophile, enantiomeric excesses varying from 69 to 86% ee were obtained (Scheme 110).515

Scheme 110

Arylglyoxals, protected at the aldehyde function with (*R*)- BINOL **1**, and readily prepared by direct nucleophilic substitution of BINOL-salt on dibromoacetophenone, react diastereoselectively with Grignard reagents to afford protected atrolactaldehyde and related compounds in high yields (Scheme 111).⁵¹⁶

Scheme 111

On the other hand, Tamai et al. have widely studied 1,8 to 1,12-asymmetric induction in Grignard reactions of *ω*-keto esters. Thus, efficient diastereoselective alkylation of *δ*- and ϵ -keto acids with Grignard reagents was achieved in up to 97% enantiomeric excess by conversion into the 2′-[3-(2 methoxyethoxy)propoxyl]-(1,1′-binaphthyl)-2-ol esters, while the corresponding alkylation of ζ - to θ-keto acids could effectively be carried out in up to 88% ee (Table 99). $517,518$

Optically active *N,N,N*′*,N*′-tetraalkyl-2,2′-dihydroxy-1,1′ binaphthyl-3,3′-dicarboxamides easily prepared from (*R*)- BINOL **1** (Scheme 112) were found to be efficient auxiliaries for the asymmetric Simmons-Smith cyclopropanation of allylic alcohols with enantioselectivities up to 94% ee (Table 100).519,520

4.7.22. Synthesis of Chiral Organophosphorus Ligands from BINOL

A wide variety of chiral organophosphorus ligands such as BINAP has been developed from BINOL **1** and sucessfully used in numerous asymmetric reactions. Nevertheless,

Table 99. Diastereoselective Alkylation of δ - and ϵ -Keto Acids **with Grignard Reagents**

282-284 + R₃MgBr
$$
\xrightarrow{MgBr_2 OEt_2 (3 \text{ equiv.)}}
$$
 8
-78°C, Et₂O

285-288 + MeMgBr
$$
\xrightarrow{MgBr_2 OEt_2 (3 \text{ equiv.)}}
$$
 HO
\n \leftarrow MOH

entry	substrate	R_3MgBr	yield (%)	ee (%)
	282	MeMgBr	73	59
2	283	MeMgBr	80	93
3	283	$C_9H_{19}MgBr$	82	97
4	284	MeMgBr	79	74
5	285	MeMgBr	91	61
6	286	MeMgBr	92	88
	287	MeMgBr	86	85
8	288	MeMgBr	88	81

Table 100. Asymmetric Simmons-**Smith Cyclopropanation of Allylic Alcohols**

this point will not be discussed here since it is beyond the scope of the present review and would deserve a coverage of its own.521

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